

## Weyl's theorem for algebraically quasi-paranormal operators

Young Min Han<sup>a</sup>, Won Hee Na<sup>b</sup>

<sup>a</sup>Department of Mathematics, College of Sciences, Kyung Hee University, Seoul 130-701, Republic of Korea

<sup>b</sup>Department of Mathematics, College of Sciences, Kyung Hee University, Seoul 130-701, Republic of Korea

**Abstract.** Let  $T$  or  $T^*$  be an algebraically quasi-paranormal operator acting on Hilbert space. We prove : (i) Weyl's theorem holds for  $f(T)$  for every  $f \in H(\sigma(T))$ ; (ii)  $a$ -Browder's theorem holds for  $f(S)$  for every  $S < T$  and  $f \in H(\sigma(S))$ ; (iii) the spectral mapping theorem holds for the Weyl spectrum of  $T$  and for the essential approximate point spectrum of  $T$ .

### 1. Introduction

Throughout this note let  $B(\mathcal{H})$  and  $K(\mathcal{H})$  denote, respectively, the algebra of bounded linear operators and the ideal of compact operators acting on an infinite dimensional separable Hilbert space  $\mathcal{H}$ . If  $T \in B(\mathcal{H})$  we shall write  $N(T)$  and  $R(T)$  for the null space and range of  $T$ . Also, let  $\alpha(T) := \dim N(T)$ ,  $\beta(T) := \dim N(T^*)$ , and let  $\sigma(T)$ ,  $\sigma_a(T)$ ,  $p_0(T)$ , and  $\sigma_p(T)$  denote the spectrum, approximate point spectrum, the set of poles of the resolvent of  $T$ , and point spectrum of  $T$ , respectively. For  $T \in B(\mathcal{H})$ , the smallest nonnegative integer  $p$  such that  $N(T^p) = N(T^{p+1})$  is called the *ascent* of  $T$  and denoted by  $a(T)$ . If no such integer exists, we set  $a(T) = \infty$ . The smallest nonnegative integer  $q$  such that  $R(T^q) = R(T^{q+1})$  is called the *descent* of  $T$  and denoted by  $d(T)$ . If no such integer exists, we set  $d(T) = \infty$ . An operator  $T \in B(\mathcal{H})$  is called *Fredholm* if it has closed range, finite dimensional null space, and its range has finite co-dimension. The *index* of a Fredholm operator  $T \in B(\mathcal{H})$  is given by

$$i(T) := \alpha(T) - \beta(T).$$

$T \in B(\mathcal{H})$  is called *Weyl* if it is Fredholm of index zero, and *Browder* if it is Fredholm of finite ascent and descent: equivalently ([12, Theorem 7.9.3]) if  $T$  is Fredholm and  $T - \lambda$  is invertible for sufficiently small  $\lambda \neq 0$  in  $\mathbb{C}$ . The essential spectrum  $\sigma_e(T)$ , the Weyl spectrum  $\sigma_w(T)$  and the Browder spectrum  $\sigma_b(T)$  of  $T \in B(\mathcal{H})$  are defined by ([12],[13])

$$\sigma_e(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Fredholm}\},$$

$$\sigma_w(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Weyl}\},$$

and

$$\sigma_b(T) := \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Browder}\},$$

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*Email addresses:* ymhan2004@khu.ac.kr, ymhan2004@hanmail.net (Young Min Han), whna@khu.ac.kr (Won Hee Na)

respectively. Evidently

$$\sigma_e(T) \subseteq \sigma_w(T) \subseteq \sigma_b(T) = \sigma_e(T) \cup \text{acc } \sigma(T),$$

where we write  $\text{acc } K$  for the accumulation points of  $K \subseteq \mathbb{C}$ . If we write  $\text{iso } K = K \setminus \text{acc } K$  then we let

$$\begin{aligned} \pi_{00}(T) &:= \{\lambda \in \text{iso } \sigma(T) : 0 < \alpha(T - \lambda) < \infty\}, \\ \pi_{00}^a(T) &:= \{\lambda \in \text{iso } \sigma_a(T) : 0 < \alpha(T - \lambda) < \infty\}, \end{aligned}$$

and

$$p_{00}(T) := \sigma(T) \setminus \sigma_b(T).$$

We say that *Weyl's theorem holds for*  $T \in B(\mathcal{H})$  (in symbols,  $T \in \mathcal{W}$ ) if

$$\sigma(T) \setminus \sigma_w(T) = \pi_{00}(T), \tag{1.1}$$

and that *Browder's theorem holds for*  $T \in B(\mathcal{H})$  (in symbols,  $T \in \mathcal{B}$ ) if

$$\sigma(T) \setminus \sigma_w(T) = p_{00}(T). \tag{1.2}$$

We consider the sets

$$\begin{aligned} \Phi_+(\mathcal{H}) &:= \{T \in B(\mathcal{H}) : R(T) \text{ is closed and } \alpha(T) < \infty\}, \\ \Phi_+(\mathcal{H}) &:= \{T \in B(\mathcal{H}) : T \in \Phi_+(\mathcal{H}) \text{ and } i(T) \leq 0\}. \end{aligned}$$

By definition,

$$\sigma_{ea}(T) := \cap \{\sigma_a(T + K) : K \in K(\mathcal{H})\}$$

is the essential approximate point spectrum, and

$$\sigma_{ab}(T) := \cap \{\sigma_a(T + K) : TK = KT \text{ and } K \in K(\mathcal{H})\}$$

is the Browder essential approximate point spectrum.

We say that *a-Weyl's theorem holds for*  $T \in B(\mathcal{H})$  (in symbols,  $T \in a\mathcal{W}$ ) if

$$\sigma_a(T) \setminus \sigma_{ea}(T) = \pi_{00}^a(T), \tag{1.3}$$

and that *a-Browder's theorem holds for*  $T \in B(\mathcal{H})$  (in symbols,  $T \in a\mathcal{B}$ ) if

$$\sigma_{ea}(T) = \sigma_{ab}(T). \tag{1.4}$$

It is known ([7],[13],[18]) that the following implications hold:

$$\begin{array}{ccc} \text{a-Weyl's theorem} & \implies & \text{a-Browder's theorem} \\ \Downarrow & & \Downarrow \\ \text{Weyl's theorem} & \implies & \text{Browder's theorem} \end{array}$$

In [20], H. Weyl proved that (1.1) holds for hermitian operators. Weyl's theorem has been extended from hermitian operators to hyponormal and Toeplitz operators ([5]), and to several classes of operators including seminormal operators ([3],[4]). Recently, R.E. Curto and Y.M. Han [6] showed that (1.1) holds for algebraically paranormal operators, and I.J. An and Y.M. Han [2] proved that every algebraically quasi-class  $A$  operator satisfies (1.1), respectively. On the other hand, V. Rakoćević showed that (1.3) holds for cohyponormal operators ([18]). In this paper, we extend these results to algebraically quasi-paranormal operators using the local spectral theory.

**2. Weyl’s theorem for algebraically quasi-paranormal operators**

**Definition 2.1.** (1) An operator  $T$  is said to be *class A* if

$$|T|^2 \leq |T^2|.$$

(2)  $T$  is called a *quasi-class A* operator if

$$T^*|T|^2T \leq T^*|T^2|T.$$

(3) An operator  $T \in B(\mathcal{H})$  is said to be *paranormal* if

$$\|Tx\|^2 \leq \|T^2x\|\|x\| \quad \text{for all } x \in \mathcal{H}.$$

Recently, we introduced [11] the new operator class which is a common generalization of paranormal operators and quasi-class A operators.

**Definition 2.2.** An operator  $T \in B(\mathcal{H})$  is said to be *quasi-paranormal* if

$$\|T^2x\|^2 \leq \|T^3x\|\|Tx\| \quad \text{for all } x \in \mathcal{H}.$$

We say that  $T$  is *algebraically quasi-paranormal* if there exists a nonconstant complex polynomial  $p$  such that  $p(T)$  is quasi-paranormal.

In general, the following implications hold:

class A  $\implies$  quasi-class A  $\implies$  quasi-paranormal;

class A  $\implies$  paranormal  $\implies$  quasi-paranormal  $\implies$  algebraically quasi-paranormal.

The following example shows that there is a big gap between the set of paranormal operators and the set of quasi-paranormal operators. To construct the example which is quasi-paranormal but not paranormal, we need the following lemma.

**Lemma 2.3.** Let  $T \in B(\mathcal{H})$ .  $T$  is quasi-paranormal if and only if

$$T^*(T^{2*}T^2 - 2\lambda T^*T + \lambda^2)T \geq 0 \quad \text{for all } \lambda > 0.$$

*Proof.* Let  $\alpha$  and  $\beta$  be arbitrary nonnegative real numbers. Then  $(\lambda^{-\frac{1}{2}}\alpha - \lambda^{\frac{1}{2}}\beta)^2 \geq 0$  for any positive real number  $\lambda$ , and so we have  $0 \leq 2\alpha\beta \leq \lambda^{-1}\alpha^2 + \lambda\beta^2$ .

(i) If  $\alpha > 0$  and  $\beta > 0$ , then  $\lambda_0 := \frac{\alpha}{\beta} \geq 0$  so that  $0 \leq 2\alpha\beta = \lambda_0^{-1}\alpha^2 + \lambda_0\beta^2$ .

(ii) If  $\alpha = 0$  or  $\beta = 0$ , then  $0 = 2\alpha\beta = \inf_{\lambda>0} (\lambda^{-1}\alpha^2 + \lambda\beta^2)$ .

Therefore by (i) and (ii),

$$\alpha\beta = \inf_{\lambda>0} \frac{1}{2}(\lambda^{-1}\alpha^2 + \lambda\beta^2) \quad \text{for all } \alpha, \beta \geq 0.$$

Let  $x \in \mathcal{H}$  be arbitrary. Put  $\alpha := \|T^3x\|$  and  $\beta := \|Tx\|$ . Then

$$\begin{aligned} \|T^3x\|\|Tx\| &= \inf_{\lambda>0} \frac{1}{2}(\lambda^{-1}\|T^3x\|^2 + \lambda\|Tx\|^2) \\ &= \inf_{\lambda>0} \frac{1}{2}(\langle \lambda^{-1}T^3x, T^3x \rangle + \langle \lambda Tx, Tx \rangle) \\ &= \inf_{\lambda>0} \frac{1}{2}\langle (\lambda^{-1}T^{2*}T^2 + \lambda)Tx, Tx \rangle. \end{aligned}$$

Therefore we have

$$\|T^2x\|^2 \leq \|T^3x\|\|Tx\| \iff \|T^2x\|^2 \leq \frac{1}{2}\langle (\lambda^{-1}T^{2*}T^2 + \lambda)Tx, Tx \rangle \quad \text{for all } \lambda > 0.$$

Hence  $T$  is quasi-paranormal if and only if  $T^*(T^{2*}T^2 - 2\lambda T^*T + \lambda^2)T \geq 0$  for all  $\lambda > 0$ .  $\square$

**Example 2.4.** Consider the unilateral weighted shift operators as an infinite dimensional Hilbert space operator. Recall that given a bounded sequence of positive numbers  $\alpha : \alpha_1, \alpha_2, \dots$  (called weights), the unilateral weighted shift  $W_\alpha$  associated with weight  $\alpha$  is the operator on  $\mathcal{H} = \ell_2$  defined by  $W_n := \alpha_n e_{n+1}$  for all  $n \geq 1$ , where  $\{e_n\}_{n=1}^\infty$  is the canonical orthonormal basis for  $\ell_2$ . It is well known that the followings are equivalent:

- (1)  $W_\alpha$  is hyponormal;
- (2)  $W_\alpha$  is class  $A$ ;
- (3)  $W_\alpha$  is paranormal;
- (4)  $\alpha$  is monotonically increasing, i.e.,  $\alpha_n \leq \alpha_{n+1}$  for all  $n \geq 1$ .

Thus hyponormality, class  $A$ , and paranormality coincide for every unilateral weighted shift. However, for quasi-paranormal operators,  $W_\alpha$  has a very useful characterization. Using Lemma 2.3, we have

$$W_\alpha = \begin{pmatrix} 0 & 0 & 0 & 0 & \cdots \\ \alpha_1 & 0 & 0 & 0 & \\ 0 & \alpha_2 & 0 & 0 & \\ 0 & 0 & \alpha_3 & 0 & \\ 0 & 0 & 0 & \alpha_4 & \ddots \\ \vdots & & & & \ddots \end{pmatrix}$$

is quasi-paranormal if and only if  $W_\alpha^*(W_\alpha^{2*}W_\alpha^2 - 2\lambda W_\alpha^*W_\alpha + \lambda^2)W_\alpha \geq 0$  for all  $\lambda > 0$ .

On the other hand, let  $\text{diag}(\{\alpha_n\}_{n=1}^\infty) = \text{diag}(\alpha_1, \alpha_2, \alpha_3, \dots)$  denote an infinite diagonal matrix on  $\ell_2$ . Then

$$\begin{aligned} & W_\alpha^*(W_\alpha^{2*}W_\alpha^2 - 2\lambda W_\alpha^*W_\alpha + \lambda^2)W_\alpha \\ &= \text{diag}(\{\alpha_n^2\alpha_{n+1}^2\alpha_{n+2}^2\}_{n=1}^\infty) - 2\lambda \text{diag}(\{\alpha_n^2\alpha_{n+1}^2\}_{n=1}^\infty) + \lambda^2 \text{diag}(\{\alpha_n^2\}_{n=1}^\infty) \\ &= \text{diag}(\{\alpha_n^2(\alpha_{n+1}^2\alpha_{n+2}^2 - 2\lambda\alpha_{n+1}^2 + \lambda^2)\}_{n=1}^\infty). \end{aligned}$$

From the equality and Lemma 2.3  $W_\alpha$  is quasi-paranormal if and only if  $\alpha_n^2(\alpha_{n+1}^2\alpha_{n+2}^2 - 2\lambda\alpha_{n+1}^2 + \lambda^2) \geq 0$  for all  $\lambda > 0$  and for every  $n \geq 1$ . Equivalently,  $\alpha_{n+1} \leq \alpha_{n+2}$  for every  $n \geq 1$ . Hence  $W_\alpha$  is quasi-paranormal if and only if  $\alpha_n \leq \alpha_{n+1}$  for every  $n \geq 2$ .

We say that  $T \in B(\mathcal{H})$  has the single valued extension property (SVEP) if for every open set  $U$  of  $\mathbb{C}$  the only analytic function  $f : U \rightarrow \mathcal{H}$  which satisfies the equation

$$(T - \lambda)f(\lambda) = 0$$

is the constant function  $f \equiv 0$  on  $U$ .

Before we state our main theorem (Theorem 2.8), we need several preliminary results. We begin with the following lemma which follows from Definition 2.2 and some facts about quasi-paranormal operators [11].

**Lemma 2.5.** Let  $T \in B(\mathcal{H})$ .

- (1) If  $T$  is algebraically quasi-paranormal, then so is  $T - \lambda$  for each  $\lambda \in \mathbb{C}$ .
- (2) If  $T$  is algebraically quasi-paranormal and  $M$  is a closed invariant subspace under  $T$ , then  $T|M$  is also algebraically quasi-paranormal.
- (3) If  $T$  is algebraically quasi-paranormal,  $T$  has SVEP.
- (4) Suppose  $T$  does not have dense range. Then we have:

$$T \text{ is quasi-paranormal} \iff T = \begin{pmatrix} A & B \\ 0 & 0 \end{pmatrix} \text{ on } \mathcal{H} = \overline{T(\mathcal{H})} \oplus N(T^*),$$

where  $A = T|_{\overline{T(\mathcal{H})}}$  is paranormal.

In [6], R.E. Curto and Y.M. Han proved that quasinilpotent algebraically paranormal operators are nilpotent. We now establish a similar result for algebraically quasi-paranormal operators.

**Lemma 2.6.** Let  $T$  be a quasinilpotent algebraically quasi-paranormal operator. Then  $T$  is nilpotent.

*Proof.* We first assume that  $T$  is quasi-paranormal. We consider two cases:

Case(I) : Suppose  $T$  has dense range. Then clearly, it is paranormal. But every quasinilpotent paranormal operator is a zero operator, hence  $T$  is nilpotent.

Case(II) : Suppose  $T$  does not have dense range. Then by Lemma 2.5, we can represent  $T$  as the upper triangular matrix

$$T = \begin{pmatrix} A & B \\ 0 & 0 \end{pmatrix} \text{ on } \mathcal{H} = \overline{T(\mathcal{H})} \oplus N(T^*),$$

where  $A := T|_{\overline{T(\mathcal{H})}}$  is an paranormal operator. Since  $T$  is quasinilpotent,  $\sigma(T) = \{0\}$ . But  $\sigma(T) = \sigma(A) \cup \{0\}$ , hence  $\sigma(A) = \{0\}$ . Since  $A$  is paranormal,  $A = 0$  and therefore  $T$  is nilpotent. Thus if  $T$  is a quasinilpotent quasi-paranormal operator, then it is nilpotent. Now, we suppose  $T$  is algebraically quasi-paranormal. Then there exists a nonconstant polynomial  $p$  such that  $p(T)$  is quasi-paranormal. If  $p(T)$  has dense range, then  $p(T)$  is paranormal. So  $T$  is algebraically paranormal, and hence  $T$  is nilpotent by [6, Lemma 2.2]. If  $p(T)$  does not have dense range, then by Lemma 2.5, we can represent  $p(T)$  as the upper triangular matrix

$$p(T) = \begin{pmatrix} C & D \\ 0 & 0 \end{pmatrix} \text{ on } \mathcal{H} = \overline{p(T)(\mathcal{H})} \oplus N(p(T)^*),$$

where  $C := p(T)|_{\overline{p(T)(\mathcal{H})}}$  is paranormal. Since  $T$  is quasinilpotent,  $\sigma(p(T)) = p(\sigma(T)) = \{p(0)\}$ . But  $\sigma(p(T)) = \sigma(C) \cup \{0\}$ , hence  $\sigma(C) \cup \{0\} = \{p(0)\}$ . So  $p(0) = 0$ , and hence  $p(T)$  is quasinilpotent. Since  $p(T)$  is quasi-paranormal, by the previous argument  $p(T)$  is nilpotent. On the other hand, since  $p(0) = 0$ ,  $p(z) = cz^m(z - \lambda_1)(z - \lambda_2) \cdots (z - \lambda_n)$  for some natural number  $m$ . Therefore  $p(T) = cT^m(T - \lambda_1)(T - \lambda_2) \cdots (T - \lambda_n)$ . Since  $p(T)$  is nilpotent,  $T$  is nilpotent. This completes the proof.  $\square$

An operator  $T \in B(\mathcal{H})$  is called *isoloid* if every isolated point of  $\sigma(T)$  is an eigenvalue of  $T$  and an operator  $T \in B(\mathcal{H})$  is called *polaroid* if  $\text{iso } \sigma(T) \subseteq p_0(T)$ . In general, if  $T$  is polaroid then it is isoloid. However, the converse is not true. Consider the following example. Let  $T \in B(\ell_2)$  be defined by

$$T(x_1, x_2, x_3, \dots) = \left(\frac{1}{2}x_2, \frac{1}{3}x_3, \dots\right).$$

Then  $T$  is a compact quasinilpotent operator with  $\alpha(T) = 1$ , and so  $T$  is isoloid. However, since  $a(T) = \infty$ ,  $T$  is not polaroid.

It is well known that every algebraically paranormal operator is isoloid. We now extend this result to algebraically quasi-paranormal operators. We can prove more:

**Lemma 2.7.** Let  $T$  be algebraically quasi-paranormal operator. Then  $T$  is polaroid.

*Proof.* Suppose  $T$  is algebraically quasi-paranormal. Then  $p(T)$  is quasi-paranormal for some nonconstant polynomial  $p$ . Let  $\lambda \in \text{iso } \sigma(T)$ . Using the spectral projection  $P = \frac{1}{2\pi i} \int_{\partial D} (\mu - T)^{-1} d\mu$ , where  $D$  is a closed disk of center  $\lambda$  which contains no other points of  $\sigma(T)$ , we can represent  $T$  as the direct sum

$$T = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix} \text{ where } \sigma(T_1) = \{\lambda\} \text{ and } \sigma(T_2) = \sigma(T) \setminus \{\lambda\}.$$

Since  $T_1$  is algebraically quasi-paranormal,  $T_1 - \lambda$  is algebraically quasi-paranormal. But  $\sigma(T_1 - \lambda) = \{0\}$ , it follows from Lemma 2.6 that  $T_1 - \lambda$  is nilpotent. Therefore  $T_1 - \lambda$  has finite ascent and descent. On the other hand, since  $T_2 - \lambda$  is invertible, clearly it has finite ascent and descent. Therefore  $T - \lambda$  has finite ascent and descent, and hence  $\lambda$  is a pole of the resolvent of  $T$ . Thus  $\lambda \in \text{iso } \sigma(T)$  implies  $\lambda \in p_0(T)$ , and so  $\text{iso } \sigma(T) \subseteq p_0(T)$ . Hence  $T$  is polaroid.  $\square$

In the following theorem, recall that  $H(\sigma(T))$  is the space of functions analytic in an open neighborhood of  $\sigma(T)$ .

**Theorem 2.8.** Suppose  $T$  or  $T^*$  is algebraically quasi-paranormal. Then  $f(T) \in \mathcal{W}$  for every  $f \in H(\sigma(T))$ .

*Proof.* Suppose  $T$  is algebraically quasi-paranormal. We first show that  $T \in \mathcal{W}$ . Suppose  $\lambda \in \sigma(T) \setminus \sigma_w(T)$ . Then  $T - \lambda$  is Weyl but not invertible. We claim that  $\lambda \in \partial\sigma(T)$ . Assume to the contrary that  $\lambda$  is an interior point of  $\sigma(T)$ . Then there exists a neighborhood  $U$  of  $\lambda$  such that  $\dim N(T - \mu) > 0$  for all  $\mu \in U$ . It follows from [10, Theorem 10] that  $T$  does not have SVEP. On the other hand, since  $T$  is algebraically quasi-paranormal, it follows from Lemma 2.5 that  $T$  has SVEP. This is a contradiction. So  $\lambda \in \partial\sigma(T) \setminus \sigma_w(T)$ , and it follows from the punctured neighborhood theorem that  $\lambda \in \pi_{00}(T)$ .

Conversely, suppose  $\lambda \in \pi_{00}(T)$ . Using the spectral projection  $P := \frac{1}{2\pi i} \int_{\partial D} (\mu - T)^{-1} d\mu$ , where  $D$  is a closed disk of center  $\lambda$  which contains no other points of  $\sigma(T)$ , we can represent  $T$  as the direct sum

$$T = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}, \text{ where } \sigma(T_1) = \{\lambda\} \text{ and } \sigma(T_2) = \sigma(T) \setminus \{\lambda\}.$$

Since  $\sigma(T_1) = \{\lambda\}$ ,  $T_1 - \lambda$  is quasinilpotent. But  $T$  is algebraically quasi-paranormal, hence  $T_1$  is also algebraically quasi-paranormal. It follows from Lemma 2.6 that  $T_1 - \lambda$  is nilpotent. Since  $\lambda \in \pi_{00}(T)$ ,  $T_1 - \lambda$  is a finite dimensional operator. Therefore  $T_1 - \lambda$  is Weyl. Since  $T_2 - \lambda$  is invertible,  $T - \lambda$  is Weyl. Thus  $T \in \mathcal{W}$ . Now we claim that  $f(\sigma_w(T)) = \sigma_w(f(T))$  for all  $f \in H(\sigma(T))$ . Let  $f \in H(\sigma(T))$ . Since  $\sigma_w(f(T)) \subseteq f(\sigma_w(T))$  with no other restriction on  $T$ , it suffices to show that  $f(\sigma_w(T)) \subseteq \sigma_w(f(T))$ . Suppose that  $\lambda \notin \sigma_w(f(T))$ . Then  $f(T) - \lambda$  is Weyl and

$$f(T) - \lambda = c(T - \alpha_1)(T - \alpha_2) \cdots (T - \alpha_n)g(T), \tag{2.8}$$

where  $c, \alpha_1, \alpha_2, \dots, \alpha_n \in \mathbb{C}$  and  $g(T)$  is invertible. Since the operators in the right side of (2.8) commute, every  $T - \alpha_i$  is Fredholm. Since  $T$  is algebraically quasi-paranormal,  $T$  has SVEP by Lemma 2.5. Therefore by [1, Corollary 3.19]  $i(T - \alpha_i) \leq 0$  for each  $i = 1, 2, \dots, n$ . Therefore  $\lambda \notin f(\sigma_w(T))$ , and hence  $f(\sigma_w(T)) = \sigma_w(f(T))$ . Now recall ([1, Lemma 3.89]) that if  $T$  is isoloid then

$$f(\sigma(T) \setminus \pi_{00}(T)) = \sigma(f(T)) \setminus \pi_{00}(f(T)) \text{ for every } f \in H(\sigma(T)).$$

Since  $T$  is isoloid by Lemma 2.7 and  $T \in \mathcal{W}$ ,

$$\sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)) = f(\sigma_w(T)) = \sigma_w(f(T)),$$

which implies that  $f(T) \in \mathcal{W}$ .

Now suppose that  $T^*$  is algebraically quasi-paranormal. We first show that  $T \in \mathcal{W}$ . Suppose that  $\lambda \in \sigma(T) \setminus \sigma_w(T)$ . Observe that  $\sigma(T^*) = \overline{\sigma(T)}$  and  $\sigma_w(T^*) = \overline{\sigma_w(T)}$ . So  $\bar{\lambda} \in \sigma(T^*) \setminus \sigma_w(T^*)$ . Since  $T^* \in \mathcal{W}$ ,  $\bar{\lambda} \in \pi_{00}(T^*)$ . Therefore  $\lambda$  is an isolated point of  $\sigma(T)$ , and so  $\lambda \in \pi_{00}(T)$ . Conversely, suppose that  $\lambda \in \pi_{00}(T)$ . Then  $\lambda$  is an isolated point of  $\sigma(T)$  and  $0 < \alpha(T - \lambda) < \infty$ . Since  $\bar{\lambda}$  is an isolated point of  $\sigma(T^*)$  and  $T^*$  is algebraically quasi-paranormal, it follows from Lemma 2.7 that  $\bar{\lambda} \in p_0(T^*)$ . So  $\lambda \in p_0(T)$ , and hence  $T - \lambda$  is Weyl. Consequently,  $\lambda \in \sigma(T) \setminus \sigma_w(T)$ . Thus  $T \in \mathcal{W}$ . Now we show that  $f(\sigma_w(T)) = \sigma_w(f(T))$  for each  $f \in H(\sigma(T))$ . Let  $f \in H(\sigma(T))$ . It is sufficient to show that  $f(\sigma_w(T)) \subseteq \sigma_w(f(T))$ . Suppose that  $\lambda \notin \sigma_w(f(T))$ . Then  $f(T) - \lambda$  is Weyl. Since  $T^*$  is algebraically quasi-paranormal, it has SVEP. It follows from [1, Corollary 3.19] that  $i(T - \alpha_i) \geq 0$  for each  $i = 1, 2, \dots, n$ . Since

$$0 \leq \sum_{i=1}^n i(T - \alpha_i) = i(f(T) - \lambda) = 0,$$

$T - \alpha_i$  is Weyl for each  $i = 1, 2, \dots, n$ . Hence  $\lambda \notin f(\sigma_w(T))$ , and so  $f(\sigma_w(T)) \subseteq \sigma_w(f(T))$ . Thus  $f(\sigma_w(T)) = \sigma_w(f(T))$  for each  $f \in H(\sigma(T))$ . Since  $T \in \mathcal{W}$  and  $T$  is isoloid,  $f(T) \in \mathcal{W}$  for every  $f \in H(\sigma(T))$ . This completes the proof.  $\square$

From the proof of Theorem 2.8, we obtain the following useful consequence.

**Corollary 2.9.** Suppose  $T$  or  $T^*$  is algebraically quasi-paranormal. Then

$$\sigma_w(f(T)) = f(\sigma_w(T)) \quad \text{for every } f \in H(\sigma(T)).$$

**3.  $a$ -Weyl’s theorem for algebraically quasi-paranormal operators**

Let  $T \in B(\mathcal{H})$ . It is well known that the inclusion  $\sigma_{ea}(f(T)) \subseteq f(\sigma_{ea}(T))$  holds for every  $f \in H(\sigma(T))$  with no restriction on  $T$  ([19, Theorem 3.3]). The next theorem shows that the spectral mapping theorem holds for the essential approximate point spectrum for algebraically quasi-paranormal operators.

**Theorem 3.1.** Suppose  $T$  or  $T^*$  is algebraically quasi-paranormal. Then

$$\sigma_{ea}(f(T)) = f(\sigma_{ea}(T)) \quad \text{for every } f \in H(\sigma(T)).$$

*Proof.* Suppose first that  $T$  is algebraically quasi-paranormal and let  $f \in H(\sigma(T))$ . It suffices to show that  $f(\sigma_{ea}(T)) \subseteq \sigma_{ea}(f(T))$ . Suppose that  $\lambda \notin \sigma_{ea}(f(T))$ . Then  $f(T) - \lambda \in \Phi_+^-(\mathcal{H})$  and

$$f(T) - \lambda = c(T - \alpha_1)(T - \alpha_2) \cdots (T - \alpha_n)g(T), \tag{3.1}$$

where  $c, \alpha_1, \alpha_2, \dots, \alpha_n \in \mathbb{C}$ , and  $g(T)$  is invertible. Since  $T$  is algebraically quasi-paranormal, it has SVEP by Lemma 2.5. It follows from [1, Corollary 3.19] that  $i(T - \alpha_i) \leq 0$  for each  $i = 1, 2, \dots, n$ . Therefore  $\lambda \notin f(\sigma_{ea}(T))$ , and hence  $\sigma_{ea}(f(T)) = f(\sigma_{ea}(T))$ . Suppose now that  $T^*$  is algebraically quasi-paranormal. Then  $T^*$  has SVEP. Therefore by [1, Corollary 3.19]  $i(T - \alpha_i) \geq 0$  for each  $i = 1, 2, \dots, n$ . Since

$$0 \leq \sum_{i=1}^n i(T - \alpha_i) = i(f(T) - \lambda) \leq 0,$$

$T - \alpha_i$  is Weyl for each  $i = 1, 2, \dots, n$ . Hence  $\lambda \notin f(\sigma_{ea}(T))$ , and so  $\sigma_{ea}(f(T)) = f(\sigma_{ea}(T))$ . This completes the proof.  $\square$

$X \in B(\mathcal{H})$  is called a quasiaffinity if it has trivial kernel and dense range.  $S \in B(\mathcal{H})$  is said to be a quasiaffine transform of  $T \in B(\mathcal{H})$  (notation:  $S < T$ ) if there is a quasiaffinity  $X \in B(\mathcal{H})$  such that  $XS = TX$ . If both  $S < T$  and  $T < S$ , then we say that  $S$  and  $T$  are quasisimilar. In general, we cannot expect that Weyl’s theorem holds for operators having SVEP. Consider the following example: let  $T \in B(\ell_2)$  be defined by

$$T(x_1, x_2, x_3, \dots) = \left(\frac{1}{2}x_2, \frac{1}{3}x_3, \dots\right).$$

Then  $T$  is quasinilpotent, and so  $T$  has SVEP. But  $\sigma(T) = \sigma_w(T) = \{0\}$  and  $\pi_{00}(T) = \{0\}$ , hence  $T \notin \mathcal{W}$ . However, we have the following theorem.

**Theorem 3.2.** Suppose  $T$  is algebraically quasi-paranormal and that  $S < T$ . Then  $f(S) \in a\mathcal{B}$  for every  $f \in H(\sigma(S))$ .

*Proof.* Suppose  $T$  is algebraically quasi-paranormal and that  $S < T$ . We first show that  $S$  has SVEP. Let  $U$  be any open set and let  $f : U \rightarrow \mathbb{C}$  be any analytic function such that  $(S - \lambda)f(\lambda) = 0$  for all  $\lambda \in U$ . Since  $S < T$ , there exists a quasiaffinity  $X$  such that  $XS = TX$ . So  $X(S - \lambda) = (T - \lambda)X$  for all  $\lambda \in U$ . Since  $(S - \lambda)f(\lambda) = 0$  for all  $\lambda \in U$ ,  $0 = X(S - \lambda)f(\lambda) = (T - \lambda)Xf(\lambda)$  for all  $\lambda \in U$ . But  $T$  is algebraically quasi-paranormal, hence  $T$  has SVEP. Therefore  $Xf(\lambda) = 0$  for all  $\lambda \in U$ . Since  $X$  is a quasiaffinity,  $f(\lambda) = 0$  for all  $\lambda \in U$ . Therefore  $S$  has SVEP. Now we show that  $S \in a\mathcal{B}$ . It is well known that  $\sigma_{ea}(S) \subseteq \sigma_{ab}(S)$ . Conversely, suppose that  $\lambda \in \sigma_a(S) \setminus \sigma_{ea}(S)$ . Then  $S - \lambda \in \Phi_+^-(\mathcal{H})$  and  $S - \lambda$  is not bounded below. Since  $S$  has SVEP and  $S - \lambda \in \Phi_+^-(\mathcal{H})$ , it follows from [1, Theorem 3.16] that  $a(S - \lambda) < \infty$ . Therefore by [19, Theorem 2.1],  $\lambda \in \sigma_a(S) \setminus \sigma_{ab}(S)$ .

Thus  $S \in a\mathcal{B}$ . Let  $f \in H(\sigma(S))$  be arbitrary. Since  $S$  has SVEP, it follows from the proof of Theorem 3.1 that  $\sigma_{ea}(f(S)) = f(\sigma_{ea}(S))$ . Therefore

$$\sigma_{ab}(f(S)) = f(\sigma_{ab}(S)) = f(\sigma_{ea}(S)) = \sigma_{ea}(f(S)),$$

and hence  $f(S) \in a\mathcal{B}$ .  $\square$

An operator  $T \in B(\mathcal{H})$  is called *a-isoloid* if every isolated point of  $\sigma_a(T)$  is an eigenvalue of  $T$ . Clearly, if  $T$  is *a-isoloid* then it is isoloid. However, the converse is not true. Consider the following example: let  $T = U \oplus Q$ , where  $U$  is the unilateral forward shift on  $\ell_2$  and  $Q$  is an injective quasinilpotent operator on  $\ell_2$ , respectively. Then  $\sigma(T) = \{\lambda \in \mathbb{C} : |\lambda| \leq 1\}$  and  $\sigma_a(T) = \{\lambda \in \mathbb{C} : |\lambda| = 1\} \cup \{0\}$ . Therefore  $T$  is isoloid but not *a-isoloid*.

Suppose that  $T^*$  is algebraically quasi-paranormal. Then we can prove more:

**Theorem 3.3.** Suppose  $T^*$  is algebraically quasi-paranormal. Then  $f(T) \in a\mathcal{W}$  for every  $f \in H(\sigma(T))$ .

*Proof.* Suppose  $T^*$  is algebraically quasi-paranormal. We first show that  $T \in a\mathcal{W}$ . Suppose that  $\lambda \in \sigma_a(T) \setminus \sigma_{ea}(T)$ . Then  $T - \lambda$  is upper semi-Fredholm and  $i(T - \lambda) \leq 0$ . Since  $T^*$  is algebraically quasi-paranormal,  $T^*$  has SVEP. Therefore by [1, Corollary 3.19]  $i(T - \lambda) \geq 0$ , and hence  $T - \lambda$  is Weyl. Since  $T^*$  has SVEP, it follows from [10, Corollary 7] that  $\sigma(T) = \sigma_a(T)$ . Also, since  $T \in \mathcal{W}$  by Theorem 2.8,  $\lambda \in \pi_{00}^a(T)$ . Conversely, suppose that  $\lambda \in \pi_{00}^a(T)$ . Since  $T^*$  has SVEP,  $\sigma(T) = \sigma_a(T)$ . Therefore  $\lambda$  is an isolated point of  $\sigma(T)$ , and hence  $\bar{\lambda}$  is an isolated point of  $\sigma(T^*)$ . But  $T^*$  is algebraically quasi-paranormal, hence by Lemma 2.7 that  $\bar{\lambda} \in p_0(T^*)$ . Therefore  $\lambda \in p_0(T)$ , and hence  $T - \lambda$  is Weyl. So  $\lambda \in \sigma_a(T) \setminus \sigma_{ea}(T)$ . Thus  $T \in a\mathcal{W}$ . Now we show that  $T$  is *a-isoloid*. Let  $\lambda$  be an isolated point of  $\sigma_a(T)$ . Since  $T^*$  has SVEP,  $\lambda$  is an isolated point of  $\sigma(T)$ . But  $T^*$  is polaroid, hence  $T$  is also polaroid. Therefore it is isoloid, and hence  $\lambda \in \sigma_p(T)$ . Thus  $T$  is *a-isoloid*. Finally, we shall show that  $f(T) \in a\mathcal{W}$  for every  $f \in H(\sigma(T))$ . Let  $f \in H(\sigma(T))$ . Since  $T \in a\mathcal{W}$ ,  $\sigma_{ea}(T) = \sigma_{ab}(T)$ . It follows from Theorem 3.1 that

$$\sigma_{ab}(f(T)) = f(\sigma_{ab}(T)) = f(\sigma_{ea}(T)) = \sigma_{ea}(f(T)),$$

and hence  $f(T) \in a\mathcal{B}$ . So  $\sigma_a(f(T)) \setminus \sigma_{ea}(f(T)) \subseteq \pi_{00}^a(f(T))$ .

Conversely, suppose  $\lambda \in \pi_{00}^a(f(T))$ . Then  $\lambda$  is an isolated point of  $\sigma_a(f(T))$  and  $0 < \alpha(f(T) - \lambda) < \infty$ . Since  $\lambda$  is an isolated point of  $f(\sigma_a(T))$ , if  $\alpha_i \in \sigma_a(T)$  then  $\alpha_i$  is an isolated point of  $\sigma_a(T)$  by (3.1). Since  $T$  is *a-isoloid*,  $0 < \alpha(T - \alpha_i) < \infty$  for each  $i = 1, 2, \dots, n$ . Since  $T \in a\mathcal{W}$ ,  $T - \alpha_i$  is upper semi-Fredholm and  $i(T - \alpha_i) \leq 0$  for each  $i = 1, 2, \dots, n$ . Therefore  $f(T) - \lambda$  is upper semi-Fredholm and  $i(f(T) - \lambda) = \sum_{i=1}^n i(T - \alpha_i) \leq 0$ . Hence  $\lambda \in \sigma_a(f(T)) \setminus \sigma_{ea}(f(T))$ , and so  $f(T) \in a\mathcal{W}$  for each  $f \in H(\sigma(T))$ . This completes the proof.  $\square$

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