

## On the Extremal Narumi-Katayama Index of Graphs

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**Abstract.** The Narumi-Katayama index of a graph  $G$ , denoted by  $NK(G)$ , is defined as  $\prod_{i=1}^n \deg(v_i)$ . In this paper, we determine the extremal  $NK(G)$  of trees, unicyclic graphs with given diameter and vertices. Moreover, the second and third minimal  $NK(G)$  of unicyclic graphs with given vertices and the minimal  $NK(G)$  of bicyclic graphs with given vertices are obtained.

### 1. Introduction

Let  $G$  be a simple graph with the vertex set  $V(G)$  and edge set  $E(G)$ . A connected graph  $G$  with  $n$  vertices is a tree (unicyclic or bicyclic graph) if  $|E(G)| = n - 1$  ( $|E(G)| = n$  or  $|E(G)| = n + 1$ ). Denote by  $\deg(v_i)$  or  $d_i$  the degree of vertex  $v_i$ . The distance between two vertices is defined as the length of a shortest path between them. The diameter of  $G$  is the maximum distance over all pairs of vertices  $u$  and  $v$  of  $G$ . In 1984, Narumi and Katayama [1] proposed a definition "simple topological index":

$$NK(G) = \prod_{i=1}^n \deg(v_i).$$

On this graph invariant, several works [2,3,4,5,6] are reported and the name "Narumi-Katayama index" is used.

In [6], I. Gutman et al. considered the problem of extremal Narumi-Katayama index and offered a few results filling the gap. For graphs without isolated vertices, I. Gutman et al. [6] presented the minimal, second-minimal and third-minimal (maximal, second-maximal, and third-maximal, resp.)  $NK$ -values and extremal graphs. Moreover, the maximal (second-maximal) Narumi-Katayama index of  $n$ -vertex tree (unicyclic graph) is determined [6]. And the maximal Narumi-Katayama index of  $n$ -vertex bicyclic graphs is given. For connected  $n$ -vertex graphs, the minimal and second minimal Narumi-Katayama index are showed [6]. Consequently, the second-minimal Narumi-Katayama index among  $n$ -vertex trees and the minimal Narumi-Katayama index among  $n$ -vertex unicyclic graphs are presented [6].

In this paper, we determine the extremal  $NK(G)$  of trees, unicyclic graphs with given diameter and vertices. Moreover, the second and third minimal  $NK(G)$  of unicyclic graphs with given vertices and the minimal  $NK(G)$  of bicyclic graphs with given vertices are obtained.

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2. The minimal Narumi-Katayama index of trees and unicyclic graphs with given diameter

**Lemma 2.1** Operation A: For an edge  $uv$  of Graph  $G$ , let  $u$  be a vertex with all adjacency vertices are pendent vertices except a vertex  $v$ . If all pendent edges incident with  $u$  are grafted to  $v$ , then the resulting graph  $G^*$  (Fig. 1) satisfies  $NK(G) > NK(G^*)$ .

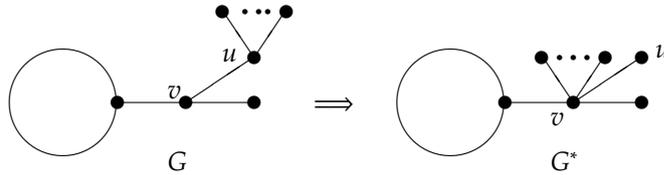


Figure 1: Operation A

*Proof.* By the definition of NK-index,

$$\begin{aligned}
 NK(G) - NK(G^*) &= \prod_{v_i \in V(G) \setminus \{u,v\}} deg(v_i) \cdot [deg_G(u) \cdot deg_G(v) - 1 \cdot (deg_G(u) + deg_G(v) - 1)] \\
 &= \prod_{v_i \in V(G) \setminus \{u,v\}} deg(v_i) \cdot (deg_G(u) - 1) \cdot (deg_G(v) - 1) > 0.
 \end{aligned}$$

Hence the result holds.  $\square$

**Lemma 2.2** Operation B: Let  $G$  be a connected graph. For a cut vertex  $v$  of  $G$  (we say  $v$  is an root of  $G$ ), if  $T_1$  is a tree branch of  $G$  including  $v$  (see Fig. 2), we transform  $T_1$  to the star with same order  $S_{|T_1|}$  and obtain  $G^*$ , then  $NK(G) \geq NK(G^*)$ , with the equality holds if and only if  $T_1 \cong S_{|T_1|}$ .

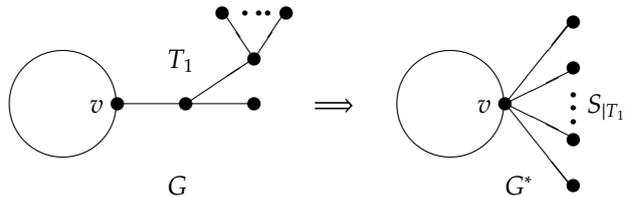


Figure 2: Operation B

*Proof.*  $T_1$  is a tree including vertex  $v$ . By the definition of NK-index and repeating the operation in Lemma 2.1,

$$NK(G) = \prod_{v_i \in V(G) \setminus T_1} deg(v_i) \cdot \prod_{v_i \in T_1} deg(v_i) \geq \prod_{v_i \in V(G) \setminus T_1} deg(v_i) \cdot \prod_{v_i \in S_{|T_1|}} deg(v_i) = NK(G^*).$$

Obviously, the equality holds if and only if  $T_1 \cong S_{|T_1|}$ .  $\square$

**Lemma 2.3** Operation C: Let  $S_{k+1}$  and  $S_{l+1}$  be two stars rooted in  $u$  and  $v$ , respectively. If all edges incident to  $v$  are grafted to  $u$  with  $d(u) \geq d(v)$ , denoted by the resulting graph  $G^*$  (Fig. 3), then  $NK(G) > NK(G^*)$ .

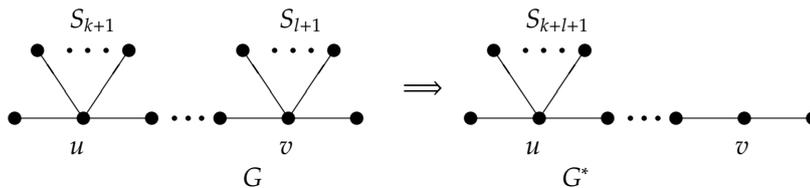


Figure 3: Operation C

*Proof.* By the definition of NK-index, then

$$\begin{aligned}
 NK(G) - NK(G^*) &= \prod_{v_i \in V(G) \setminus \{u, v\}} \deg(v_i) \cdot [\deg_G(u) \cdot \deg_G(v) - (\deg_G(u) + l) \cdot (\deg_G(v) - l)] \\
 &= \prod_{v_i \in V(G) \setminus \{u, v\}} \deg(v_i) \cdot l \cdot [\deg_G(u) - \deg_G(v) + l].
 \end{aligned}$$

Since  $\deg_G(u) \geq \deg_G(v)$  and  $l \geq 1$ ,  $NK(G) - NK(G^*) > 0$ .

Hence the result follows.  $\square$

**Theorem 2.4** Let  $T$  be a tree with given diameter  $d$  and  $n$  vertices. Then  $NK(T) \geq NK(T_1^*)$ , where  $T_1^* \in \mathcal{T}_d^{1,*}$  and  $\mathcal{T}_d^{1,*}$  (Fig. 4) is the set of trees with given diameter  $d$  and  $S_{n-d}$  rooted in the diametral path excepting the two end vertices.

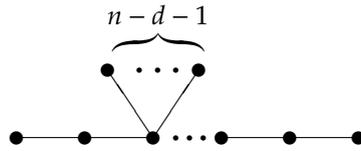


Figure 4: A tree  $T_1^*$  in  $\mathcal{T}_d^{1,*}$

*Proof.* For a tree  $T$  with given diameter  $d$ , choose a diametral path  $P_{d+1} = v_1 \cdots v_{d+1}$ , and replace tree branches rooted in  $v_2, \dots, v_d$  by stars, then graft two stars to a star. Repeat the operations B and C. By Lemmas 2.2 and 2.3, the result follows.  $\square$

**Theorem 2.5** Let  $T$  be a tree with given diameter  $d$ ,  $n$  vertices and  $T \notin \mathcal{T}_d^{1,*}$ . Then  $NK(T) \geq NK(T_2^*)$ , where  $T_2^* \in \mathcal{T}_d^{2,*}$  and  $\mathcal{T}_d^{2,*}$  (Fig. 5) is the set of trees with given diameter  $d$  and in the diametral path,  $S_{n-d-1}$  and a vertex are rooted in two different vertices.

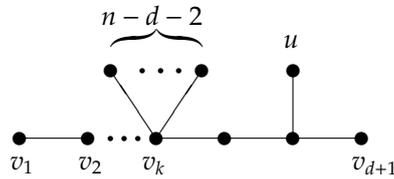


Figure 5: A tree  $T_2^*$  in  $\mathcal{T}_d^{2,*}$

*Proof.* Similar to the proof in Theorem 2.4, by repeating operations in Lemmas 2.2 and 2.3, the  $NK$ -index of  $T$  is decreasing. Note that  $T \notin \mathcal{T}_d^{1,*}$ , in the diametral path  $v_1 v_2 \cdots v_{d+1}$ , the star  $S_{n-d-1}$  is rooted in a vertex  $v_k$ . The only one remaining vertex  $u$  is adjacent to  $v_i$  ( $i = 2, \dots, d, i \neq k$ ) or one of pendent vertices of  $S_{n-d-1}$ . The resulting graphs are denoted by  $T_2^*$  and  $T_3^*$ . By direct calculations,  $NK(T_3^*) = 2^{d-1} \cdot (n-d) > NK(T_2^*) = 3 \cdot 2^{d-3} \cdot (n-d)$ .

Hence  $NK(T) \geq NK(T_2^*)$ .  $\square$

**Lemma 2.6** [7] Let  $G$  be a connected unicyclic graph with at least one pendent vertex, and the diameter of  $G$  be  $D$ . If  $d(u, v) = D$ , where  $u, v \in V(G)$ , then  $u$  or  $v$  should be a pendent vertex.

**Theorem 2.7** Let  $U \cong C_n$  be a unicyclic graph with given diameter  $d$  and  $n$  vertices. Then  $NK(U) \geq NK(U_j^*) = NK(U^{**})$ , where  $U_j^*$  ( $j = 2, \dots, \lfloor \frac{d+1}{2} \rfloor$ ) is a unicyclic graph with diameter  $d$ ,  $S_{n-d-2}$  and  $C_3$  rooted in the same vertex of the diametral path  $v_1 v_2 \cdots v_d v_{d+1}$  except two end vertices.  $U_2^*$  and  $U^{**}$  are depicted in Figure 6.

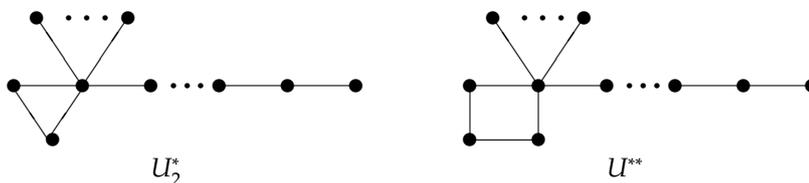


Figure 6:  $U_2^*$  and  $U^{**}$  (diameter  $d$ )

*Proof.* By Lemma 2.6, for  $U \neq C_n$ , one of endpoints in a diametral path of unicyclic graph is a pendent vertex. There are two cases:

Case 1: A diametral path has an endpoint in the cycle.

For a unicyclic graph  $U$ , let a diametral path be  $u_1u_2 \cdots u_kv_1v_2 \cdots v_l$  (without loss of generation, let  $k \geq l$ ), where  $v_1, v_2, \dots, v_l$  are the vertices in the cycle with  $k+l = d+1$ .  $T_{u_i}$  ( $i = 2, \dots, k$ ) are the tree branches rooted in  $u_i$  with  $\max\{(u_2, v)|v \in V(T_{u_2})\} \leq 1, \dots, \max\{(u_k, v)|v \in V(T_{u_k})\} \leq d - (k - 1)$ .

If  $U_1$  is obtained from  $U$  by transforming the branches in Path  $u_2 \cdots u_kv_1$  and the cycle to stars, by Lemma 2.2, then  $NK(U) \geq NK(U_1)$ . If the graph  $U_1$  is transformed to  $U_2$ , where  $U_2$  is the unicyclic graph that a star rooted in  $u_i$  of Path  $u_2 \cdots u_k$ , a star rooted in  $v_1$  and a star rooted in  $v_i$  of the cycle, by Lemma 2.3, then  $NK(U_1) \geq NK(U_2)$ .

By repeating Operation C in Lemma 2.3, the graph  $U_3, U_4$  and  $U_5$  are obtained.

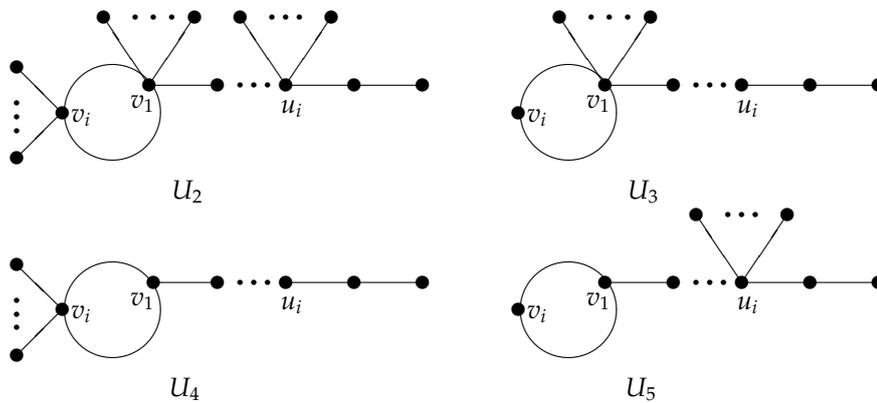


Figure 7:  $U_i, i = 2, 3, 4, 5$ .

Note that  $NK(U_2) \geq NK(U_3)$  and  $NK(U_2) \geq NK(U_4) = NK(U_5)$ .

By direct calculation,

$$NK(U_3) - NK(U_4) = \prod_{v \in V(U_3) \setminus \{v_i, v_1\}} \deg(v) \cdot [2 \cdot \deg_{U_3}(v_1) - 3 \cdot (2 + \deg_{U_3}(v_1) - 3)]$$

$$= \prod_{v \in V(U_3) \setminus \{v_i, v_1\}} \deg(v) \cdot (3 - \deg_{U_3}(v_1)) \leq 0.$$

If  $\deg_{U_3}(v_1) = 3$ , then  $U_3 \cong U_4$ .

If  $\deg_{U_3}(v_1) > 3$ , then  $NK(U_3) \leq NK(U_4) \leq NK(U_2) \leq NK(U_1) \leq NK(U)$ .

For even positive integer  $l$ , the graph  $U_3$  consists of a path with length  $d - \frac{l}{2}$ , a cycle  $C_l$  and a star  $S_{n-d-\frac{l}{2}+1}$  rooted in the same endpoint of the path.

$$NK(U_3) = 2^{d-2+\frac{l}{2}} \cdot (n - d - \frac{l}{2} + 3).$$

Let  $f(l) = 2^{d-2+\frac{l}{2}}(n - d - \frac{l}{2} + 3)$ . Then  $f'(l) = \frac{1}{2} \cdot 2^{d-2+\frac{l}{2}}[(n - d - \frac{l}{2} + 3) \ln 2 - 1] > 0$ .  $f(l)$  is an increasing function in  $l$ . Then  $f(l) \geq f(4) = 2^d(n - d + 1)$  for  $l \geq 4$ , i.e.,  $U^{**}$  attains the minimal NK-index.

For odd positive integer  $l$ , the graph  $U_3$  consists of a path with length  $d - \frac{l-1}{2}$ , a cycle  $C_l$  and a star  $S_{n-d-\frac{l-1}{2}}$  rooted in the same endpoint of the path.

$$NK(U_3) = 2^{d+\frac{l-3}{2}} \cdot (n - d - \frac{l-5}{2}).$$

Let  $h(l) = 2^{d+\frac{l-3}{2}}(n - d - \frac{l-5}{2})$ . Obviously,  $h(l)$  is an increasing function in  $l$ . Then  $h(l) \geq h(3) = 2^d(n - d + 1)$  for  $l \geq 3$ , i.e.,  $U_2^*$  attains the minimal NK-index.

Case 2: Two endpoints of each diametral path are pendent vertices.

In order to decrease the NK-value of  $U$ , we can transform a unicyclic graph  $U$  to  $U_6$ , where a star  $S'$  and a unicyclic graph  $U'$  are rooted in  $v$  and  $w$  of a diametral path. By Lemma 2.2,  $NK(U) \geq NK(U_6)$ .

By transforming tree branches to stars in  $U'$  and grafting stars to a star, we obtain the graphs  $U_7$  and  $U_8$ .

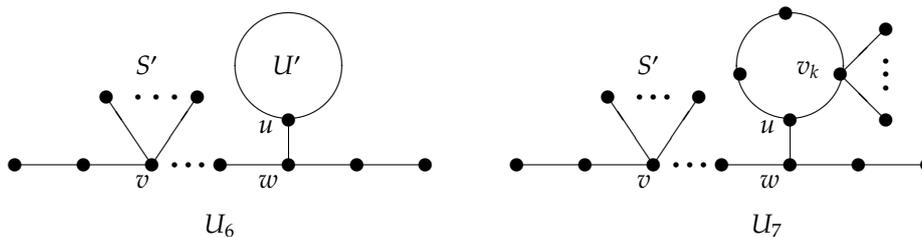


Figure 8:  $U_6$  and  $U_7$

By Lemmas 2.2 and 2.3,  $NK(U_6) \geq NK(U_7)$ ,  $NK(U_6) \geq NK(U_8)$  and  $NK(U_7) - NK(U_8) = \prod_{v \in V(U_7) \setminus \{v_k, u\}} deg(v)[3 \cdot deg_{U_7}(v_k) - 2 \cdot (deg_{U_7}(v_k) + 1)] > 0$ .

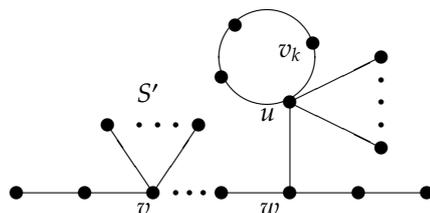


Figure 9:  $U_8$

Let  $U_9$  be the unicyclic graph obtained by adding a pendent vertex at  $w$ , and identifying  $u$  with  $w$  from  $U_8$ .

$$NK(U_9) - NK(U_8) = \prod_{v \in V(U_8) \setminus \{u, w\}} deg(v)[deg_{U_8}(u) + 2 - 3 \cdot deg_{U_8}(u)] < 0.$$

Let  $U_{10}$  ( $U_{11}$ ) be the unicyclic graph obtained by grafting all pendent edges of vertex  $v$  ( $u$ ) to vertex  $u$  ( $v$ ) from  $U_9$ . Then  $NK(U_{10}) - NK(U_9) = \prod_{x \in V(U_9) \setminus \{u, v\}} deg(x)[2 \cdot (deg_{U_9}(u) + deg_{U_9}(v) - 2) - deg_{U_9}(u) \cdot deg_{U_9}(v)] < 0$ .

Obviously,  $NK(U_{10}) \leq NK(U_{11})$ .

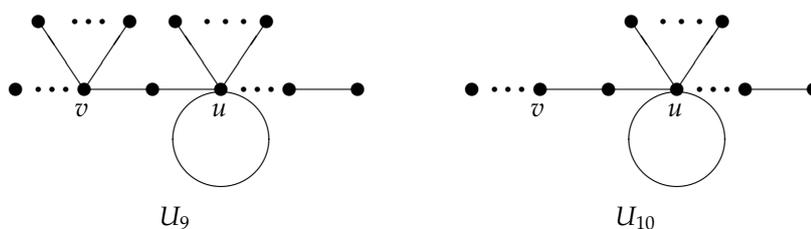


Figure 10:  $U_9$  and  $U_{10}$

Hence  $NK(U_{10}) \leq NK(U_9) \leq NK(U_8) \leq NK(U_7) \leq NK(U_6) \leq NK(U)$ .

The graph  $U_{10}$  consists of a path with length  $d$ , a cycle  $C_l$  with  $l \leq d$  and a star  $S_{n-d-l+1}$  rooted in the same vertex of the path except two end vertices.

$$NK(U_{10}) = 2^{d-2} \cdot 2^{l-1} \cdot (n - d - l + 4) = 2^{d+l-3} \cdot (n - d - l + 4).$$

Let  $g(l) = 2^{d+l-3}(n - d - l + 4)$ . Then  $g'(l) = 2^{d+l-3}[(n - d - l + 4) \ln 2 - 1] > 0$ .  $g(l)$  is an increasing function in  $l$ . Then  $g(l) \geq g(3) = 2^d(n - d + 1)$  for  $l \geq 3$ , i.e.,  $U_j^*$  attains the minimal NK-index.

By above discussions,  $NK(U) \geq NK(U_j^*) = NK(U^{**}) = 2^d(n - d + 1)$ .  $\square$

Let  $f(x) = 2^x(n - x + 1)$ . Then  $f'(x) = 2^x[\ln 2 \cdot (n - x + 1) - 1] > 0$ .  $f(x)$  is an increasing function in  $x$ . Then  $f(x) \geq f(2)$ , i.e., the following corollary holds:

**Corollary 2.8** [6] Among all connected  $n$ -vertex unicyclic graphs, the graph  $Y_n$  (Fig. 11) has minimal Narumi-Katayama index (equal to  $4(n - 1)$ ). This graph is unique.

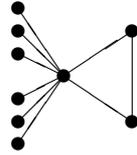


Figure 11:  $Y_n$

### 3. The second and third minimal Narumi-Katayama index of unicyclic graphs

In [6], the minimal Narumi-Katayama index of unicyclic graphs is presented. In this section, we discuss the second and third minimal Narumi-Katayama index of unicyclic graphs.

**Theorem 3.1** Let  $U \not\cong Y_n$ .  $U_i^*$  ( $i = 3, 4, 5$ ) is a unicyclic graph with  $n$  vertices and given cycle length  $k$ , where  $U_i^*$  ( $i = 3, 4, 5$ ) are depicted in Fig. 12.

Then  $NK(U) \geq NK(U_5^*) > NK(U_3^*) > NK(U_4^*)$ .

*Proof.* Let  $C_k$  be the cycle of unicyclic graph  $U$ . In order to decrease  $NK$ -index, by Lemma 2.2, we can change the tree branches rooted in the cycle  $C_k$  to stars. By Operation C of Lemma 2.3, the Narumi-Katayama index is strictly decreasing. Repeated Operations B and C, then  $U_3^*$  is obtained.

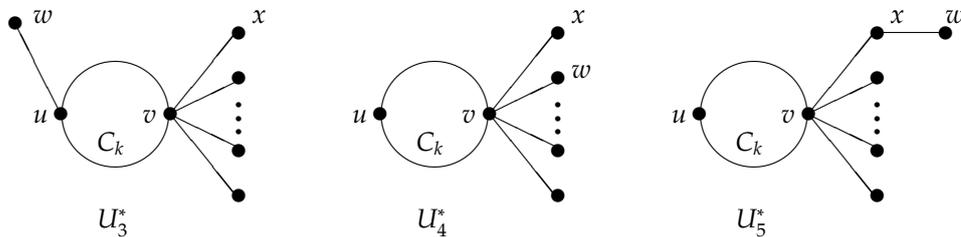


Figure 12:  $U_i^*$  ( $i = 3, 4, 5$ )

Let  $U_4^* = U_3^* - uw + vw$ ,  $U_5^* = U_3^* - uw + xw$ .  $NK(U_3^*) - NK(U_4^*) = \prod_{v_i \in V(U_3^*) \setminus \{u,v\}} deg(v_i)[3 \cdot deg_{U_3^*}(v) - 2 \cdot (deg_{U_3^*}(v) + 1)] > 0$ .  $NK(U_3^*) - NK(U_5^*) = \prod_{v_i \in V(U_3^*) \setminus \{u,x\}} deg(v_i)[3 \cdot 1 - 2 \cdot 2] < 0$ .

Then  $NK(U_4^*) < NK(U_3^*) < NK(U_5^*) \leq NK(U)$ .  $\square$

**Lemma 3.2** Let  $U$  be a unicyclic graph with the cycle  $C_k$  and other vertices are pendent vertices.  $U'$  is the unicyclic graph obtained by deleting a 2-degree vertex and adding a pendent vertex of  $C_k$ . Then  $NK(U) > NK(U')$ .

*Proof.* Let  $u, v$  be a 2-degree and a vertex of  $C_k$ .

$$NK(U) - NK(U') = \prod_{v_i \in V(U) \setminus \{u,v\}} deg(v_i) \cdot [2 \cdot deg_U(v) - 1 \cdot (deg_U(v) + 1)] > 0.$$

Hence  $NK(U) > NK(U')$ .  $\square$

By Theorem 3.1 and Lemma 3.2, the following result holds:

**Theorem 3.3** Let  $U \not\cong Y_n$ .  $W_n$  and  $M_n$  are the unicyclic graphs  $U_5^*$  and  $U_3^*$  in the case  $k = 3$ . Then  $NK(U) > NK(W_n) > NK(M_n)$ .

4. The minimal Narumi-Katayama index of bicyclic graphs

Bicyclic graphs are divided into three types:

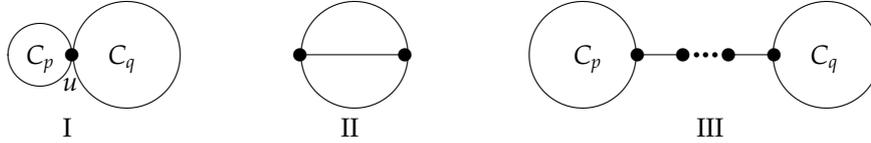


Figure 13: I, II and III-type bicyclic graphs

**Lemma 4.1** Let  $B$  be a I-type bicyclic graph with the cycles  $C_p, C_q$  and  $n$  vertices. Then  $NK(B) \geq NK(B_4^*)$  ( $B_4^*$  is depicted in Fig. 14), where  $C_p$  and  $C_q$  have a common vertex  $u$ , and the other vertices are pendent vertices attached in  $u$ .

*Proof.* For a bicyclic graph  $B$  with the cycles  $C_p$  and  $C_q$ , the other vertices consist of some tree branches rooted in  $C_p, C_q$  and vertex  $u$ . By Lemma 2.2, if these tree branches are transformed into stars, then Narumi-Katayama index is decreasing. Then we can obtain  $B_1^*$ . And  $NK(B) \geq NK(B_1^*)$ .

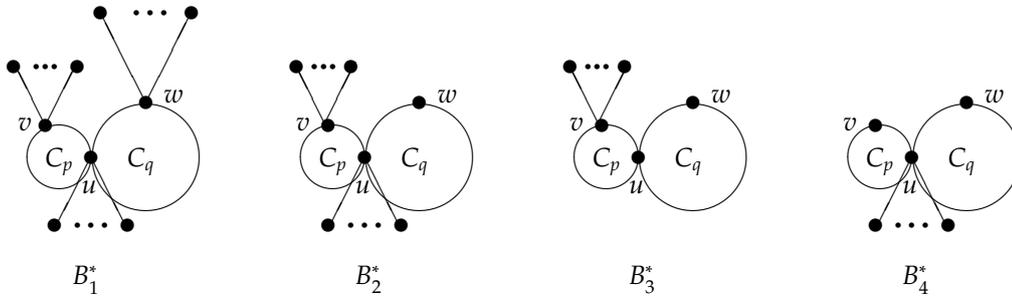


Figure 14:  $B_i^*$  ( $i = 1, 2, 3, 4$ )

Let  $B_2^*$  be the graph obtained by grafting all pendent edges incident with  $w$  to  $v$  from  $B_1^*$ . Then  $NK(B_1^*) - NK(B_2^*) = \prod_{v_i \in V(B_1^*) \setminus \{v, w\}} deg(v_i) \cdot [deg_{B_1^*}(v) \cdot deg_{B_1^*}(w) - 2 \cdot (deg_{B_1^*}(v) + deg_{B_1^*}(w) - 2)] > 0$  for  $deg_{B_1^*}(v) > 2$

and  $deg_{B_1^*}(w) > 2$ . If  $deg_{B_1^*}(v) = 2$  or  $deg_{B_1^*}(w) = 2$ , then  $B_1^* \cong B_2^*$ .

Let  $B_3^*$  ( $B_4^*$ ) be the graph obtained by grafting all pendent edges incident with  $u$  ( $v$ ) to  $v$  ( $u$ ) from  $B_2^*$ .

$$NK(B_2^*) - NK(B_4^*) = \prod_{v_i \in V(B_2^*) \setminus \{u, v\}} deg(v_i) \cdot [deg_{B_2^*}(u) \cdot deg_{B_2^*}(v) - 2 \cdot (deg_{B_2^*}(v) + deg_{B_2^*}(u) - 2)]$$

$$= \prod_{v_i \in V(B_2^*) \setminus \{u, v\}} deg(v_i) \cdot [(deg_{B_2^*}(u) - 2) \cdot (deg_{B_2^*}(v) - 2)].$$

If  $deg_{B_2^*}(v) = 2$ , then  $B_2^* \cong B_4^*$ . If  $deg_{B_2^*}(v) > 2$ , then  $NK(B_2^*) > NK(B_4^*)$ .

$$NK(B_3^*) - NK(B_4^*) = \prod_{v_i \in V(B_3^*) \setminus \{u, v\}} deg(v_i) \cdot [4 \cdot deg_{B_3^*}(v) - 2 \cdot (deg_{B_3^*}(v) + 2)]$$

$$= \prod_{v_i \in V(B_3^*) \setminus \{u, v\}} deg(v_i) \cdot [2 \cdot (deg_{B_3^*}(v) - 2)].$$

If  $deg_{B_3^*}(v) = 2$ , then  $B_3^* \cong B_4^*$ . If  $deg_{B_3^*}(v) > 2$ , then  $NK(B_3^*) > NK(B_4^*)$ .

Combining above discussions, we have:

- (1) If  $deg_{B_2^*}(v) = 2$ , then  $B_2^* \cong B_3^* \cong B_4^*$ .
- (2) If  $deg_{B_2^*}(v) > 2$ , then  $NK(B_2^*) > NK(B_4^*)$  and  $NK(B_3^*) > NK(B_4^*)$ .

Hence  $NK(B) \geq NK(B_4^*)$ .  $\square$

**Lemma 4.2** For a bicyclic graph  $B_4^*$ , the minimal Narumi-Katayama index is attained when there are  $n - 5$  pendent vertices, denoted by  $B_4^*(3, 3, n - 5)$ .

*Proof.* Suppose there are  $k$  2-degree vertices in  $B_4^*$ . Then  $NK(B_4^*) = 2^k \cdot (n - k + 3)$ . Let  $f(k) = 2^k(n - k + 3)$ . Since  $f'(k) = 2^k[(n - k + 3)\ln 2 - 1] > 0$ ,  $f(k)$  is an increasing function in  $k$ . Then  $f(k) \geq f(4)$  for  $k \geq 4$ , i.e., when  $p = 3, q = 3$  and  $n - 5$  vertices are pendent vertices, i.e.,  $B_4^*(3, 3, n - 5)$  attains the minimal  $NK$ -value.  $\square$

**Lemma 4.3** Let  $B$  be a II-type bicyclic graph. Then  $NK(B) \geq NK(B_5^*)$ , where  $B_5^*$  is depicted in Figure 15.

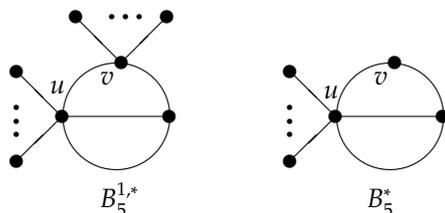


Figure 15:  $B_5^{1,*}$  and  $B_5^*$

*Proof.* Let  $B$  be a II-type bicyclic graph. In order to decrease  $NK(B)$ , by repeating operations in Lemmas 2.2 and 2.3, we can obtain the bicyclic graph  $B_5^{1,*}$  and  $NK(B) \geq NK(B_5^{1,*})$ .

Let  $B_5^{2,*}$  ( $B_5^*$ ) be the graph obtained by grafting all pendent vertices of vertex  $u$  ( $v$ ) to  $v$  ( $u$ ) from  $B_5^{1,*}$ . By Lemma 2.2,  $NK(B_5^{1,*}) \geq NK(B_5^{2,*})$  and  $NK(B_5^{1,*}) \geq NK(B_5^*)$ .

$$NK(B_5^*) - NK(B_5^{2,*}) = \prod_{v_i \in V(B_5^{1,*}) \setminus \{u,v\}} \deg(v_i) \cdot [2 \cdot (\deg_{B_5^{1,*}}(u) + \deg_{B_5^{1,*}}(v) - 2) - 3 \cdot (\deg_{B_5^{1,*}}(v) + \deg_{B_5^{1,*}}(u) - 3)].$$

If  $\deg_{B_5^{1,*}}(u) = 3$  and  $\deg_{B_5^{1,*}}(v) = 2$ , then  $B_5^{2,*} \cong B_5^*$ .

Otherwise,  $NK(B_5^*) \leq NK(B_5^{2,*}) \leq NK(B_5^{1,*}) \leq NK(B)$ .  $\square$

**Lemma 4.4** For a bicyclic graph  $B_5^*$ , the minimal Narumi-Katayama index is attained when there are  $n - 4$  pendent vertices, denoted by  $B_5^*(n - 4)$ .

*Proof.* Suppose there are  $k$  2-degree vertices in  $B_5^*$ . Then  $NK(B_5^*) = (n - k + 1) \cdot 2^k \cdot 3$ . Let  $f(k) = 3 \cdot 2^k(n - k + 1)$ . Since  $f'(k) = 3 \cdot 2^k[(n - k + 1)\ln 2 - 1] > 0$ ,  $f(k)$  is an increasing function in  $k$ . Then  $f(k) \geq f(2)$  for  $k \geq 2$ , i.e., when  $B_5^* \cong B_5^*(n - 4)$ ,  $NK(B_5^*(n - 4))$  attains the minimal value.  $\square$

**Lemma 4.5** Let  $B$  be a III-type bicyclic graph with  $n$  vertices. Then  $NK(B) \geq NK(B_6^*)$ , where  $B_6^*$  is depicted in Figure 16.

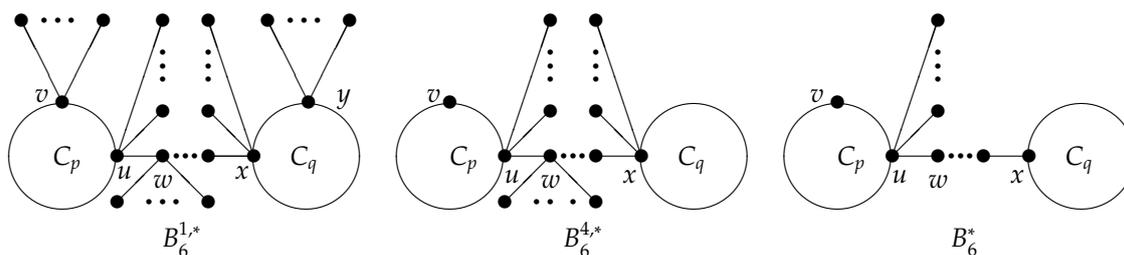


Figure 16:  $B_6^{1,*}$ ,  $B_6^{4,*}$  and  $B_6^*$

*Proof.* For a III-type bicyclic graph  $B$ , similar to the proof of Lemma 4.3, and repeating the operations in Lemmas 2.2 and 2.3, we can obtain the bicyclic graph  $B_6^{1,*}$  with  $NK(B) \geq NK(B_6^{1,*})$ .

Let  $B_6^{2,*}$  ( $B_6^{3,*}$ ) be the graph obtained by grafting all pendent vertices of vertex  $u$  ( $v$ ) to  $v$  ( $u$ ) from  $B_6^{1,*}$ . Since  $\deg_{B_6^{1,*}}(u) \geq 3$  and  $\deg_{B_6^{1,*}}(v) \geq 3$ ,

$$NK(B_6^{1,*}) - NK(B_6^{2,*}) = \prod_{v_i \in V(B_6^{1,*}) \setminus \{u,v\}} \deg(v_i) \cdot [\deg_{B_6^{1,*}}(u)\deg_{B_6^{1,*}}(v) - 3 \cdot (\deg_{B_6^{1,*}}(v) + \deg_{B_6^{1,*}}(u) - 3)] \geq 0;$$

$$NK(B_6^{2,*}) - NK(B_6^{3,*}) = \prod_{v_i \in V(B_6^{2,*}) \setminus \{u,v\}} \deg(v_i) \cdot [\deg_{B_6^{2,*}}(v) \cdot 3 - 2 \cdot (\deg_{B_6^{2,*}}(v) - 2 + 3)] \geq 0.$$

Then  $NK(B_6^{1,*}) \geq NK(B_6^{2,*}) \geq NK(B_6^{3,*})$ .

Similarly, by grafting all pendent vertices of vertex  $y$  to  $x$  from  $B_6^{3,*}$ , we obtain the graph  $B_6^{4,*}$  and  $NK(B_6^{3,*}) \geq NK(B_6^{4,*})$ .

Let  $B_6^{5,*}$  be the graph obtained by grafting all pendent vertices of vertex  $x$  to  $u$  from  $B_6^{4,*}$ .

$$NK(B_6^{4,*}) - NK(B_6^{5,*}) = \prod_{v_i \in V(B_6^{4,*}) \setminus \{u,x\}} \deg(v_i) \cdot [\deg_{B_6^{4,*}}(u) \deg_{B_6^{4,*}}(x) - 3 \cdot (\deg_{B_6^{4,*}}(u) + \deg_{B_6^{4,*}}(x) - 3)] \geq 0.$$

Then  $NK(B_6^{4,*}) \geq NK(B_6^{5,*})$ .

Let  $B_6^{6,*}$  ( $B_6^*$ ) be the graph obtained by grafting all pendent vertices of vertex  $u$  ( $w$ ) to  $w$  ( $u$ ) from  $B_6^{5,*}$ .

$$NK(B_6^{5,*}) - NK(B_6^{6,*}) = \prod_{v_i \in V(B_6^{5,*}) \setminus \{u,w\}} \deg(v_i) \cdot [\deg_{B_6^{5,*}}(u) \deg_{B_6^{5,*}}(w) - 3 \cdot (\deg_{B_6^{5,*}}(u) + \deg_{B_6^{5,*}}(w) - 3)] \geq 0;$$

$$NK(B_6^{6,*}) - NK(B_6^*) = \prod_{v_i \in V(B_6^{6,*}) \setminus \{u,w\}} \deg(v_i) \cdot [3 \cdot \deg_{B_6^{6,*}}(w) - 2 \cdot (\deg_{B_6^{6,*}}(w) - 2 + 3)] \geq 0.$$

Then  $NK(B_6^{5,*}) \geq NK(B_6^{6,*}) \geq NK(B_6^*)$ .

Hence  $NK(B) \geq NK(B_6^{1,*}) \geq NK(B_6^{2,*}) \geq NK(B_6^{3,*}) \geq NK(B_6^{4,*}) \geq NK(B_6^{5,*}) \geq NK(B_6^{6,*}) \geq NK(B_6^*)$ .  $\square$

**Lemma 4.6** For a bicyclic graph  $B_6^*$ , the minimal Narumi-Katayama index is attained when  $p = 3$ ,  $q = 3$  and other vertices are pendent vertices, denoted by  $B_6^*(3, 3, n - 6)$ .

*Proof.* Suppose there are  $k$  2-degree vertices in  $B_6^*$ . Then  $NK(B_6^*) = (n - k + 1) \cdot 2^k \cdot 3$ . By the proof of Lemma 4.4,  $NK(B_6^*)$  is increasing in  $k$ . For  $k \geq 4$ , i.e., when  $p = 3$ ,  $q = 3$  and  $n - 6$  vertices are pendent vertices, i.e.,  $NK(B_6^*(3, 3, n - 6))$  attains the minimal value.  $\square$

**Theorem 4.7** Let  $B$  a bicyclic graph with  $n$  vertices. Then  $NK(B) \geq NK(B_5^*(n - 4))$ . The equality holds if and only if  $B \cong B_5^*(n - 4)$ .

*Proof.* For a bicyclic graph  $B$ ,  $B$  belongs to one of three types of bicyclic graphs. By Lemmas 4.1-4.6,  $B$  attains the minimum  $NK$ -value in  $B_4^*(3, 3, n - 5)$ ,  $B_5^*(n - 4)$  or  $B_6^*(3, 3, n - 6)$ . By direct calculations,  $NK(B_4^*(3, 3, n - 5)) = 2^4 \cdot (n - 1)$ ,  $NK(B_5^*(n - 4)) = 2^2 \cdot 3 \cdot (n - 1)$ , and  $NK(B_6^*(3, 3, n - 6)) = 3 \cdot 2^4 \cdot (n - 3)$ . Then  $NK(B_4^*(3, 3, n - 5)) > NK(B_5^*(n - 4))$  and  $NK(B_6^*(3, 3, n - 6)) > NK(B_5^*(n - 4))$ .

Then  $NK(B) > NK(B_5^*(n - 4))$  if  $B \not\cong B_5^*(n - 4)$ .

Hence  $NK(B) \geq NK(B_5^*(n - 4))$ .  $\square$

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