



## A New Variation of Weyl Type Theorems and Perturbations

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**Abstract.** In this paper, we introduce the new property  $(aR)$ , which extends property  $(R)$  introduced by Aiena and his collaborators. We investigate the property  $(aR)$  in connection with Weyl type theorems, and establish sufficient and necessary conditions for which property  $(aR)$  holds. We also study the stability of property  $(aR)$  under perturbations by finite rank operators, by nilpotent operators, by quasi-nilpotent operators and by algebraic operators commuting with  $T$ .

### 1. Introduction

Throughout this paper, we denote  $X$  an infinite dimensional complex Banach space and  $L(X)$  the algebra of all bounded linear operators on  $X$ . For  $T \in L(X)$ , we denote the null space, the range, the spectrum, the approximate point spectrum, the surjective spectrum, the isolated points of spectrum and the isolated points of approximate point spectrum by  $N(T)$ ,  $R(T)$ ,  $\sigma(T)$ ,  $\sigma_a(T)$ ,  $\sigma_s(T)$ ,  $\text{iso}\sigma(T)$  and  $\text{iso}\sigma_a(T)$ , respectively. If  $R(T)$  is closed and  $\alpha(T) = \dim N(T) < \infty$  (resp.  $\beta(T) = \dim X/R(T) < \infty$ ), then  $T$  is called an upper (resp. a lower) semi-Fredholm operator. In the sequel  $\Phi_+(X)$  (resp.  $\Phi_-(X)$ ) is written for the set of all upper (resp. lower) semi-Fredholm operators. The class of all semi-Fredholm operators is defined by  $\Phi_\pm(X) = \Phi_+(X) \cup \Phi_-(X)$ , and the index of  $T$  is given by  $i(T) = \alpha(T) - \beta(T)$ . Denote  $\Phi(X) = \Phi_+(X) \cap \Phi_-(X)$  the set of all Fredholm operators. Define  $W_+(X) = \{T \in \Phi_+(X) : i(T) \leq 0\}$ ,  $W_-(X) = \{T \in \Phi_-(X) : i(T) \geq 0\}$ . The set of all Weyl operators is defined by  $W(X) = W_+(X) \cap W_-(X) = \{T \in \Phi(X) : i(T) = 0\}$ . The classes of operators defined above generate the following spectrums: the Weyl spectrum of  $T$  is defined by  $\sigma_w(T) = \{\lambda \in \mathbb{C} : T - \lambda I \notin W(X)\}$ , while the upper semi-Weyl spectrum of  $T$  is defined by  $\sigma_{uw}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \notin W_+(X)\}$  and the lower semi-Weyl spectrum of  $T$  is defined by  $\sigma_{lw}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \notin W_-(X)\}$ . For  $T \in L(X)$ , let  $\Delta(T) = \sigma(T) \setminus \sigma_w(T)$  and  $\Delta_a(T) = \sigma_a(T) \setminus \sigma_{uw}(T)$ . Following Coburn [9], Weyl's theorem is said to hold for  $T$  if  $\Delta(T) = \pi_{00}(T)$ , where  $\pi_{00}(T) = \{\lambda \in \text{iso}\sigma(T) : 0 < \alpha(T - \lambda I) < \infty\}$ . According to Rakočević [14],  $a$ -Weyl's theorem is said to hold for  $T$  if  $\Delta_a(T) = \pi_{00}^a(T)$ , where  $\pi_{00}^a(T) = \{\lambda \in \text{iso}\sigma_a(T) : 0 < \alpha(T - \lambda I) < \infty\}$ . It's known that an operator satisfying  $a$ -Weyl's theorem satisfies Weyl's theorem, but the converse doesn't hold in general.

Recall that the ascent  $p(T)$  of an operator  $T$  is defined by  $p(T) = \inf\{n \in \mathbb{N} : N(T^n) = N(T^{n+1})\}$  and the descent  $q(T)$  of an operator  $T$  is defined by  $q(T) = \inf\{n \in \mathbb{N} : R(T^n) = R(T^{n+1})\}$ . It is well-known that if  $p(T)$

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and  $q(T)$  are both finite, then  $p(T) = q(T)$  [12, Proposition 38.3]. Moreover,  $0 < p(\lambda I - T) = q(\lambda I - T) < \infty$  precisely when  $\lambda$  is a pole of the resolvent of  $T$ , see Proposition 50.2 of Heuser [12]. The class of all upper semi-Browder operators is defined by  $B_+(X) = \{T \in \Phi_+(X) : p(T) < \infty\}$  and the class of all Browder operators is defined by  $B(X) = \{T \in \Phi(X) : p(T) = q(T) < \infty\}$ . The Browder spectrum of  $T$  is defined by  $\sigma_b(T) = \{\lambda \in \mathbb{C} : \lambda I - T \notin B(X)\}$  and the upper semi-Browder spectrum is defined by  $\sigma_{ub}(T) = \{\lambda \in \mathbb{C} : \lambda I - T \notin B_+(X)\}$ , clearly  $\sigma_w(T) \subseteq \sigma_b(T)$  and  $\sigma_{uw}(T) \subseteq \sigma_{ub}(T)$ . For  $T \in L(X)$ , set  $p_{00}(T) = \sigma(T) \setminus \sigma_b(T)$  and  $p_{00}^a(T) = \sigma_a(T) \setminus \sigma_{ub}(T)$ . Obviously,  $p_{00}(T) \subseteq \pi_{00}(T)$ . In [11], Browder's theorem is said to hold for  $T$  if  $\Delta(T) = p_{00}(T)$ , or equivalently  $\sigma_w(T) = \sigma_b(T)$ ;  $a$ -Browder's theorem is said to hold for  $T$  if  $\Delta_a(T) = p_{00}^a(T)$ , or equivalently  $\sigma_{uw}(T) = \sigma_{ub}(T)$ . Note that Weyl's theorem for  $T$  entails Browder's theorem for  $T$ . Moreover,  $a$ -Browder's theorem for  $T$  entails Browder's theorem for  $T$  and the converse doesn't hold in general.

Recall [6, 8] that property  $(aw)$  is said to hold for  $T$  if  $\Delta(T) = \pi_{00}^a(T)$ , and property  $(R)$  holds for  $T$  if  $p_{00}^a(T) = \pi_{00}(T)$ .

The single valued extension property plays an important role in local spectral theory, see the recent monograph of Laursen and Neumann [13] and Aiena [2]. In this article we shall consider the following local version of this property.

Let  $X$  be a complex Banach space and  $T \in L(X)$ . The operator  $T$  is said to have the single valued extension property at  $\lambda_0 \in \mathbb{C}$  (abbrev. SVEP at  $\lambda_0$ ), the only analytic function  $f : D \rightarrow X$  which satisfies the equation  $(\lambda I - T)f(\lambda) = 0$  for all  $\lambda \in D$  is the function  $f \equiv 0$ . An operator  $T$  is said to have SVEP if  $T$  has SVEP at every point  $\lambda \in \mathbb{C}$ .

It is known that both Browder's theorem and  $a$ -Browder's theorem hold for  $T$  if  $T$  or  $T^*$  has SVEP. Precisely, we have that  $a$ -Browder's theorem holds for  $T$  if and only if  $T$  has SVEP at every  $\lambda \notin \sigma_{uw}(T)$ , and dually,  $a$ -Browder's theorem holds for  $T^*$  if and only if  $T^*$  has SVEP at every  $\lambda \notin \sigma_{lw}(T)$ , see [5, Theorem 2.3].

From the identity theorem for analytic function it easily follows that  $T$ , as well as its dual  $T^*$ , has SVEP at every point of the boundary of the spectrum  $\sigma(T) = \sigma(T^*)$ , so both  $T$  and  $T^*$  have SVEP at every isolated point of the spectrum.

**Theorem**[5, Theorem 1.2] *If  $T \in L(X)$  and suppose that  $\lambda_0 I - T \in \Phi_{\pm}(X)$ . Then the following statements are equivalent:*

- (i)  $T$  has SVEP at  $\lambda_0$ ; (ii)  $p(T - \lambda_0 I) < \infty$ ; (iii)  $\sigma_a(T)$  doesn't cluster at  $\lambda_0$ .
- Dually, if  $\lambda_0 I - T \in \Phi_{\pm}(X)$ , then the following statements are equivalent:*
- (iv)  $T^*$  has SVEP at  $\lambda_0$ ; (v)  $q(T - \lambda_0 I) < \infty$ ; (vi)  $\sigma_s(T)$  doesn't cluster at  $\lambda_0$ .

A bounded operator  $T$  is said to be polaroid if every isolated point of  $\sigma(T)$  is a pole of the resolvent of  $T$ . A bounded operator  $T$  is said to be hereditarily polaroid if every part of  $T$  is polaroid.  $T$  is said to be  $a$ -polaroid if every isolated point of  $\sigma_a(T)$  is a pole of the resolvent of  $T$ .  $T$  is said to be  $a$ -isoloid if every isolated point of  $\sigma_a(T)$  is an eigenvalue of  $T$ .  $T$  is said to be finite-isoloid if every isolated point of  $\sigma(T)$  is an eigenvalue of finite multiplicity.

In section 2, we introduce and study the new property  $(aR)$  in connection with Weyl type theorems. We prove that an operator  $T$  possessing property  $(aR)$  possesses property  $(R)$ , but the converse is not true in general as shown by Example 2.4. We prove also that if  $T^*$  has SVEP at every  $\lambda \notin \sigma_{uw}(T)$ , then property  $(aR)$ , property  $(aw)$ , Weyl's theorem and  $a$ -Weyl's theorem are equivalent. In section 3, in Theorem 3.5 we prove that if  $T \in L(X)$  and  $E$  is a nilpotent operator commuting with  $T$ , then  $T$  possesses property  $(aR)$  if and only if  $T + E$  possesses property  $(aR)$ . And we provide a condition under which the new property  $(aR)$  is preserved under commuting finite dimensional operator, we prove in Theorem 3.3 that if  $\text{iso}_{\sigma_a}(T) = \phi$  and  $K$  is a finite dimensional operator commuting with  $T$ , then  $T + K$  satisfies property  $(aR)$ .

## 2. Property $(aR)$

**Definition 2.1.** *An operator  $T$  is said to satisfy property  $(aR)$  if  $\pi_{00}^a(T) = p_{00}(T)$ .*

**Lemma 2.2.** [1] Suppose that  $T \in L(X)$ . Then we have

- (i)  $T$  satisfies Weyl's theorem if and only if Browder's theorem holds for  $T$  and  $p_{00}(T) = \pi_{00}(T)$ .
- (ii)  $T$  satisfies  $a$ -Weyl's theorem if and only if  $a$ -Browder's theorem holds for  $T$  and  $p_{00}^a(T) = \pi_{00}^a(T)$ .

**Theorem 2.3.** Suppose that  $T$  satisfies property  $(aR)$ . Then property  $(R)$  holds for  $T$ .

*Proof.* Let  $\lambda \in \pi_{00}(T)$ . Then  $\lambda \in \pi_{00}^a(T)$ , since  $T$  satisfies property  $(aR)$ ,  $\pi_{00}^a(T) = p_{00}(T)$ , hence  $\lambda \in p_{00}(T) \subseteq p_{00}^a(T)$ , i.e.,  $\pi_{00}(T) \subseteq p_{00}^a(T)$ . Conversely, let  $\lambda \in p_{00}^a(T)$ . Then  $\lambda \in \pi_{00}^a(T)$ , since  $T$  satisfies property  $(aR)$ ,  $\pi_{00}^a(T) = p_{00}(T)$ , hence  $\lambda \in p_{00}(T) \subseteq \pi_{00}(T)$ , i.e.,  $p_{00}^a(T) \subseteq \pi_{00}(T)$ . Therefore,  $p_{00}^a(T) = \pi_{00}(T)$ , we have  $T$  satisfies property  $(R)$ .

The following example shows that property  $(R)$  is weaker than property  $(aR)$ .

**Example 2.4.** Let  $R : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral right shift operator defined by  $R(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $Q(x_1, x_2, \dots) = (\frac{x_2}{2}, \frac{x_3}{2}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T = R \oplus Q$ . Then  $\sigma(T) = D$ ,  $\sigma_a(T) = \partial D \cup \{0\}$ , where  $D$  denotes the closed unit disc and  $\partial D$  denotes the unit circle, and hence  $p_{00}(T) = \pi_{00}(T) = \phi$ , but  $\pi_{00}^a(T) = \{0\}$ , i.e.,  $T$  doesn't satisfy property  $(aR)$ . While  $T$  satisfies property  $(R)$  since  $p_{00}^a(T) = \pi_{00}(T) = \phi$ .

In the following theorem we give a condition for the equivalence of property  $(aR)$  and property  $(aw)$ .

**Theorem 2.5.**  $T$  satisfies property  $(aw)$  if and only if Browder's theorem holds for  $T$  and  $T$  has property  $(aR)$ .

*Proof.* If Browder's theorem holds for  $T$  and  $T$  has property  $(aR)$ , then  $\Delta(T) = p_{00}(T)$  and  $\pi_{00}^a(T) = p_{00}(T)$ , hence  $\Delta(T) = \pi_{00}^a(T)$ , i.e.,  $T$  satisfies property  $(aw)$ . Conversely, it is easy to prove property  $(aw)$  implies Browder's theorem by [8, Theorem 2.4, Theorem 3.5], i.e.,  $\Delta(T) = p_{00}(T)$ , since  $T$  satisfies property  $(aw)$ ,  $\pi_{00}^a(T) = \Delta(T)$ , hence  $\pi_{00}^a(T) = p_{00}(T)$ , i.e.,  $T$  has property  $(aR)$ .

The following example shows that property  $(aR)$  is weaker than property  $(aw)$ .

**Example 2.6.** Let  $R : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral right shift operator defined by  $R(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $L : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral left shift operator defined by  $L(x_1, x_2, \dots) = (x_2, x_3, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T := R \oplus L$ . Then  $\sigma(T) = \sigma_a(T) = D$ . It follows that  $p_{00}(T) = \pi_{00}^a(T) = \phi$ , then  $T$  satisfies property  $(aR)$ . While  $T$  doesn't satisfy property  $(aw)$ , since  $0 \in \sigma(T) \setminus \sigma_w(T) \neq \phi = \pi_{00}^a(T)$ .

The following example shows property  $(aR)$  for an operator is not transmitted to the dual  $T^*$ .

**Example 2.7.** Let  $L : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral left shift operator defined by  $L(x_1, x_2, \dots) = (x_2, x_3, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $Q(x_1, x_2, \dots) = (0, x_2, x_3, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T := L \oplus Q$ . Then  $\sigma(T) = \sigma(T^*) = \sigma_a(T) = D$  and  $\sigma_a(T^*) = \partial D \cup \{0\}$ . It follows that  $p_{00}(T) = \pi_{00}^a(T) = \phi$ , then  $T$  satisfies property  $(aR)$ . While  $T^*$  doesn't satisfy property  $(aR)$ , since  $0 \in \pi_{00}^a(T^*) \neq \phi = p_{00}(T^*)$ .

**Theorem 2.8.** Suppose that  $T$  satisfies property  $(aR)$ . Then  $p_{00}^a(T) = \pi_{00}^a(T) = p_{00}(T) = \pi_{00}(T)$ .

*Proof.* Observe that  $p_{00}(T) \subseteq \pi_{00}(T) \subseteq \pi_{00}^a(T)$  holds for every operator  $T$ . As  $T$  satisfies property  $(aR)$ ,  $\pi_{00}^a(T) = p_{00}(T)$ , hence  $p_{00}(T) = \pi_{00}(T) = \pi_{00}^a(T)$ . As  $p_{00}(T) \subseteq p_{00}^a(T) \subseteq \pi_{00}^a(T)$  holds for every operator  $T$  and  $\pi_{00}^a(T) = p_{00}(T)$ , then  $p_{00}(T) = p_{00}^a(T) = \pi_{00}^a(T)$ , i.e.,  $p_{00}(T) = p_{00}^a(T) = \pi_{00}^a(T) = \pi_{00}(T)$ .

The following example shows neither of the two equalities  $p_{00}^a(T) = \pi_{00}^a(T)$ ,  $p_{00}(T) = \pi_{00}(T)$  can imply  $p_{00}(T) = \pi_{00}^a(T)$ .

**Example 2.9.** Let  $R : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral right shift operator defined by  $R(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $Q(x_1, x_2, \dots) = (\frac{1}{2}x_1, x_2, x_3, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T = R \oplus Q$ . Then  $\sigma(T) = \sigma(T^*) = D$ ,  $\sigma_a(T) = \partial D \cup \{\frac{1}{2}\}$  and  $\sigma_{uw}(T) = \partial D$ , and hence  $p_{00}(T) = \pi_{00}(T) = \phi$ . We show that  $T$  does not satisfy property  $(aR)$ . Since  $T$  has SVEP at the points of  $\partial D$ , these points belong to the boundary of the spectrum, and  $T$  has SVEP at  $\frac{1}{2}$ , since this point is an isolated point of  $\sigma_a(T)$ . Hence,  $T$  has SVEP and  $a$ -Browder's theorem holds for  $T$ , i.e.,  $\sigma_{uw}(T) = \sigma_{ub}(T) = \partial D$ . Observe that

the operator  $T$  satisfies the equality  $p_{00}^a(T) = \pi_{00}^a(T)$ . Indeed,  $\frac{1}{2}$  is an isolated point of  $\sigma_a(T)$ , and hence  $\pi_{00}^a(T) = \{\frac{1}{2}\} = \sigma_a(T) \setminus \sigma_{ub}(T) = p_{00}^a(T)$ . While  $T$  does not satisfy property  $(aR)$  since  $\pi_{00}^a(T) = \{\frac{1}{2}\} \neq p_{00}(T)$ .

As noted in Example 2.9 the condition  $p_{00}^a(T) = \pi_{00}^a(T)$  is strictly weaker than property  $(aR)$ . However, we have:

**Theorem 2.10.**  $T$  satisfies property  $(aR)$  if and only if the following two conditions hold:

- (i)  $\pi_{00}^a(T) \subseteq \text{iso}\sigma(T)$ .
- (ii)  $p_{00}^a(T) = \pi_{00}^a(T)$ .

*Proof.* If  $T$  satisfies property  $(aR)$ , then  $\pi_{00}^a(T) = p_{00}(T) \subseteq \text{iso}\sigma(T)$ , and by Theorem 2.8  $p_{00}^a(T) = \pi_{00}^a(T)$ . Conversely, since  $p_{00}(T) \subseteq \pi_{00}^a(T)$  holds for every operator  $T$ , it suffices to show that  $\pi_{00}^a(T) \subseteq p_{00}(T)$ , suppose that both (i) and (ii) hold and let  $\lambda \in \pi_{00}^a(T)$ . Then  $\lambda \in p_{00}^a(T)$  and  $\lambda \in \text{iso}\sigma(T)$ , hence  $p(\lambda I - T) = q(\lambda I - T) < \infty$ , and so  $\lambda \in p_{00}(T)$ .

The following example shows that  $a$ -Weyl's theorem does not entail property  $(aR)$ .

**Example 2.11.** Let  $T$  be defined as in Example 2.9. As already observed,  $T$  does not satisfy property  $(aR)$ . While  $T$  has SVEP and hence  $a$ -Browder's theorem holds for  $T$ , since  $p_{00}^a(T) = \pi_{00}^a(T)$ . By part (ii) of Lemma 2.2, then  $a$ -Weyl's theorem holds for  $T$ .

The following example shows that property  $(aR)$  does not entail  $a$ -Weyl's theorem.

**Example 2.12.** Let  $T$  be defined as in Example 2.6. We have  $\alpha(T) = \beta(T) = 1$  and  $p(T) = \infty$ . Therefore,  $0 \notin \sigma_w(T)$ , while  $0 \in \sigma_b(T)$ , so Browder's theorem (and hence  $a$ -Weyl's theorem) does not hold for  $T$ . On the other hand, since  $\sigma(T) = \sigma_a(T) = D$ , we have  $p_{00}(T) = \pi_{00}^a(T) = \phi$ , and hence property  $(aR)$  holds for  $T$ .

**Theorem 2.13.** Suppose that  $T$  satisfies both  $a$ -Browder's theorem and property  $(aR)$ . Then  $T$  satisfies  $a$ -Weyl's theorem. Moreover,  $\sigma_a(T) \setminus \sigma_{uw}(T) = p_{00}(T)$ .

*Proof.* Since  $T$  satisfies  $a$ -Browder's theorem and property  $(aR)$ ,  $p_{00}^a(T) = \pi_{00}^a(T)$  by Theorem 2.8. Therefore,  $a$ -Weyl's theorem holds for  $T$  by part (ii) of Lemma 2.2, i.e.  $\sigma_a(T) \setminus \sigma_{uw}(T) = \pi_{00}^a(T)$ . Property  $(aR)$  implies  $\sigma_a(T) \setminus \sigma_{uw}(T) = p_{00}(T)$ .

In [7] an operator  $T$  is said to have property  $(b)$  if  $\sigma_a(T) \setminus \sigma_{uw}(T) = p_{00}(T)$ .

The following example shows that property  $(aR)$  does not entail property  $(b)$ .

**Example 2.14.** Let  $T$  be defined as in Example 2.6. Then  $T$  satisfies property  $(aR)$ , while property  $(b)$  does not hold for  $T$ , since  $0 \in \sigma_a(T) \setminus \sigma_{uw}(T)$ , while  $p_{00}(T) = \phi$ . This example also shows that without the assumption that  $T$  satisfies  $a$ -Browder's theorem, the result of Theorem 2.13 does not hold.

The following example shows that property  $(b)$  does not entail property  $(aR)$ .

**Example 2.15.** Let  $Q(x_1, x_2, \dots) = (\frac{x_2}{2^2}, \frac{x_3}{2^3}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Clearly,  $Q$  is quasi-nilpotent and hence  $\sigma(Q) = \sigma_a(Q) = \{0\}$  and  $\alpha(Q) = 1$ , we have  $0 \in \pi_{00}^a(Q)$ ,  $p_{00}(Q) = \phi$ , it then follows that  $Q$  does not satisfy property  $(aR)$ . On the other hand,  $Q$  has property  $(b)$  since  $\sigma_a(Q) \setminus \sigma_{uw}(Q) = p_{00}(Q) = \phi$ .

The next result shows that the equivalence of property  $(aR)$ , property  $(aw)$ , Weyl's theorem and  $a$ -Weyl's theorem is true whenever we assume that  $T^*$  has SVEP at the points  $\lambda \notin \sigma_{uw}(T)$ .

**Theorem 2.16.** Suppose that  $T^*$  has SVEP at every  $\lambda \notin \sigma_{uw}(T)$ . Then the following statements are equivalent:

- (i)  $\pi_{00}(T) = p_{00}(T)$ ;
- (ii)  $\pi_{00}^a(T) = p_{00}^a(T)$ ;
- (iii)  $\pi_{00}^a(T) = p_{00}(T)$ .

Consequently, property  $(aR)$ , property  $(aw)$ , Weyl's theorem and  $a$ -Weyl's theorem are equivalent for  $T$ .

*Proof.* Since  $T^*$  has SVEP at every  $\lambda \notin \sigma_{uw}(T)$ ,  $\sigma(T) = \sigma_a(T)$ , hence  $\pi_{00}(T) = \pi_{00}^a(T)$ . In the following we would show  $p_{00}^a(T) = p_{00}(T)$ , observe first that  $p_{00}(T) \subseteq p_{00}^a(T)$  holds for every operator  $T$ . To show the opposite inclusion, let  $\lambda \in p_{00}^a(T) = \sigma_a(T) \setminus \sigma_{ub}(T)$ . Then  $T - \lambda I \in B_+(X)$ , and hence both  $\alpha(\lambda I - T)$  and  $p(\lambda I - T)$  are finite. But  $\sigma_{uw}(T) \subseteq \sigma_{ub}(T)$  holds for every operator  $T$ , thus  $\lambda \notin \sigma_{uw}(T)$  and the SVEP of  $T^*$  at  $\lambda$  implies that  $q(\lambda I - T) < \infty$ , therefore, by [2, Theorem 3.4], we have  $\alpha(\lambda I - T) = \beta(\lambda I - T) < \infty$ , so  $\lambda \in p_{00}(T)$ . Therefore,  $p_{00}(T) = p_{00}^a(T)$ . From which the equivalence of (i), (ii) and (iii) easily follows. To show the last statement observe that the SVEP of  $T^*$  at the points  $\lambda \notin \sigma_{uw}(T)$  entails that  $a$ -Browder's theorem (and hence Browder's theorem) holds for  $T$ , see [5, Theorem 2.3]. By Lemma 2.2 and Theorem 2.5, then property  $(aR)$ , property  $(aw)$ , Weyl's theorem and  $a$ -Weyl's theorem are equivalent for  $T$ .

Dually, we have

**Corollary 2.17.** *Suppose that  $T$  has SVEP at every  $\lambda \notin \sigma_{lw}(T)$ . Then the following statements are equivalent:*

- (i)  $\pi_{00}(T^*) = p_{00}(T^*)$ ;
- (ii)  $\pi_{00}^a(T^*) = p_{00}^a(T^*)$ ;
- (iii)  $\pi_{00}^a(T^*) = p_{00}(T^*)$ .

*Consequently, property  $(aR)$ , property  $(aw)$ , Weyl's theorem and  $a$ -Weyl's theorem are equivalent for  $T^*$ .*

*Proof.* The proof is similar to Theorem 2.16.

**Theorem 2.18.** *Suppose that  $T$  is  $a$ -polaroid. Then  $T$  satisfies property  $(aR)$ .*

*Proof.* Since  $p_{00}(T) \subseteq \pi_{00}^a(T)$  holds for every operator  $T$ . To show the opposite inclusion, let  $\lambda \in \pi_{00}^a(T)$ . Then  $\lambda$  is an isolated point of  $\sigma_a(T)$ ,  $\lambda$  is a pole of the resolvent of  $T$  and  $\alpha(T - \lambda) < \infty$ , hence  $\lambda \in p_{00}(T)$ , i.e.,  $T$  satisfies property  $(aR)$ .

**Corollary 2.19.** [6] *Suppose that  $T$  is  $a$ -polaroid. Then  $T$  satisfies property  $(R)$ .*

The next example shows that under a weaker condition of being polaroid the result of Theorem 2.18 does not hold.

**Example 2.20.** Let  $R : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be the unilateral right shift operator defined by  $R(x_1, x_2, \dots) = (0, x_1, x_2, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $Q(x_1, x_2, \dots) = (\frac{x_2}{2^2}, \frac{x_3}{2^3}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T := R \oplus Q$ . Then  $\sigma(T) = D$ , it follows that  $\text{iso}\sigma(T) = p_{00}(T) = \emptyset$ . Therefore,  $T$  is polaroid. Moreover,  $\sigma_a(T) = \partial D \cup \{0\}$  and  $\pi_{00}^a(T) = \{0\}$ , and hence  $\pi_{00}^a(T) \neq p_{00}(T)$ , thus  $T$  does not satisfy property  $(aR)$ .

From the proof of Theorem 2.16 we know that if  $T^*$  has SVEP, then  $\sigma(T) = \sigma_a(T)$ . Therefore if  $T^*$  has SVEP, then  $T$  is  $a$ -polaroid  $\Leftrightarrow T$  is polaroid.

**Corollary 2.21.** *Suppose that  $T$  is polaroid and  $T^*$  has SVEP. Then  $T$  satisfies property  $(aR)$ .*

Note that the result of Corollary 2.21 does not hold if we replace the SVEP for  $T^*$  by the SVEP for  $T$ .

**Example 2.22.** Let  $T$  be defined as in Example 2.20. Then  $T$  has SVEP and is polaroid, while  $T$  does not satisfy property  $(aR)$ .

### 3. Property $(aR)$ under Perturbations

**Theorem 3.1.** [10] *Suppose  $T$  is  $a$ -isoloid and satisfies  $a$ -Weyl's theorem. Then  $T+K$  satisfies  $a$ -Weyl's theorem for every finite-dimensional operator  $K$  commuting with  $T$ .*

The following example shows that an analogous result of Theorem 3.1 does not hold for property  $(aR)$ , even with the class of  $a$ -isoloid operators.

**Example 3.2.** Let  $T : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be defined by

$$T(x_1, x_2, \dots) = (2x_1, 2x_2, 0, x_3, x_4, \dots) \text{ for all } x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$$

and

$$K(x_1, x_2, \dots) = (-2x_1, -2x_2, 0, 0, 0, \dots) \text{ for all } x = (x_1, x_2, \dots) \in l^2(\mathbb{N}).$$

Then  $K$  is a finite-dimensional operator,  $KT = TK$ ,  $\sigma(T) = D \cup \{2\}$  and  $\sigma_a(T) = \partial D \cup \{2\}$ , it follows that  $\pi_{00}^a(T) = p_{00}(T) = \{2\}$ . Therefore,  $T$  is  $a$ -isoloid operators, and satisfies property  $(aR)$ . While  $\sigma(T + K) = D$  and  $\sigma_a(T + K) = \partial D \cup \{0\}$ , it follows that  $p_{00}(T + K) = \text{iso}\sigma(T + K) = \phi \neq \{0\} = \pi_{00}^a(T + K)$ . Therefore,  $T + K$  does not satisfy property  $(aR)$ .

**Theorem 3.3.** Suppose  $T \in L(X)$  and  $\text{iso}\sigma_a(T) = \phi$ . If  $K$  is a finite dimensional operator commuting with  $T$ , then  $T+K$  satisfies property  $(aR)$ .

*Proof.* Since  $\text{iso}\sigma_a(T) = \phi$  and  $K$  is a finite dimensional operator commuting with  $T$ , by the proof of [3, Theorem 2.8],  $\sigma_a(T) = \sigma_a(T + K)$ , then  $\text{iso}\sigma_a(T + K) = \phi$ . Since  $\text{iso}\sigma(T + K) \subseteq \text{iso}\sigma_a(T + K)$ ,  $\text{iso}\sigma(T + K) = \phi$ . It follows that  $p_{00}(T + K) = \pi_{00}^a(T + K) = \phi$ , i.e.,  $T + K$  satisfies property  $(aR)$ .

**Corollary 3.4.** Suppose  $T \in L(X)$  and  $\text{iso}\sigma_a(T) = \phi$ . If  $K$  is a finite dimensional operator commuting with  $T$ , then  $T+K$  satisfies property  $(R)$ .

The next result shows that property  $(aR)$  for  $T$  is transmitted to  $T + E$  in the case where  $E$  is a nilpotent operator which commutes with  $T$ . Recall first that the equality  $\sigma_a(T) = \sigma_a(T + Q)$  holds for every quasi-nilpotent operator  $Q$  which commutes with  $T$ .

**Theorem 3.5.** Suppose  $T \in L(X)$  and let  $E \in L(X)$  be a nilpotent operator which commutes with  $T$ . Then we have:

- (i)  $\pi_{00}^a(T + E) = \pi_{00}^a(T)$ .
- (ii)  $T$  satisfies property  $(aR)$  if and only if  $T + E$  satisfies property  $(aR)$ .
- (iii) If  $T$  is  $a$ -polaroid, then  $T + E$  satisfies property  $(aR)$ .

*Proof.* (i) Let  $\lambda \in \pi_{00}^a(T + E)$ . We can assume  $\lambda = 0$ . Clearly,  $0 \in \text{iso}\sigma_a(T + E) = \text{iso}\sigma_a(T)$ . Let  $p \in \mathbb{N}$  be such that  $E^p = 0$ . If  $x \in N(T + E)$ , then  $T^p x = (-1)^p E^p x = 0$ , thus  $N(T + E) \subseteq N(T^p)$ , since by assumption  $\alpha(T + E) > 0$ , it then follows that  $\alpha(T^p) > 0$  and this obviously implies that  $\alpha(T) > 0$ . By assumption we also have  $\alpha(T + E) < \infty$  and this implies that  $\alpha(T + E)^p < \infty$ . It is easily seen that if  $x \in N(T)$ , then  $(T + E)^p x = E^p x = 0$ , so  $N(T) \subseteq N(T + E)^p$  and hence  $\alpha(T) < \infty$ . Therefore,  $0 \in \pi_{00}^a(T)$  and consequently  $\pi_{00}^a(T + E) \subseteq \pi_{00}^a(T)$ .  $\pi_{00}^a(T) \subseteq \pi_{00}^a(T + E)$  follows by symmetry.

(ii) Suppose that  $T$  has property  $(aR)$ . Then  $\pi_{00}^a(T + E) = \pi_{00}^a(T) = \sigma(T) \setminus \sigma_b(T) = \sigma(T + E) \setminus \sigma_b(T + E) = p_{00}(T + E)$ , therefore  $T + E$  has property  $(aR)$ . The converse follows by symmetry.

(iii) Obviously, by part (ii), since  $T$  satisfies property  $(aR)$  by Theorem 2.18.

This example shows that the commutativity hypothesis in (ii) of Theorem 3.5 is essential.

**Example 3.6.** Let  $Q : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be defined by

$$Q(x_1, x_2, \dots) = \left(0, 0, \frac{x_1}{2}, \frac{x_2}{2^2}, \frac{x_3}{2^3}, \dots\right) \text{ for all } x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$$

and

$$E(x_1, x_2, \dots) = \left(0, 0, -\frac{x_1}{2}, 0, 0, \dots\right) \text{ for all } x = (x_1, x_2, \dots) \in l^2(\mathbb{N}).$$

Clearly  $E$  is a nilpotent operator and  $p_{00}(Q) = \pi_{00}^a(Q) = \phi$ , i.e.,  $Q$  satisfies property  $(aR)$ . While  $p_{00}(Q + E) = \phi$  and  $\pi_{00}^a(Q + E) = \{0\}$ , it follows that  $p_{00}(Q + E) \neq \pi_{00}^a(Q + E)$ , i.e.,  $Q + E$  does not satisfy property  $(aR)$ .

The previous theorem does not extend to commuting quasi-nilpotent operators as shown by the following example.

**Example 3.7.** Let  $Q : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be defined by  $Q(x_1, x_2, \dots) = (\frac{x_2}{2^2}, \frac{x_3}{2^3}, \frac{x_4}{2^4}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $T = 0$ . Clearly  $T$  satisfies property (aR). While  $Q$  is quasi-nilpotent and  $TQ = QT$ , so  $\sigma(Q) = \sigma_b(Q) = \{0\}$  and hence  $\{0\} = \pi_{00}^a(Q) \neq \sigma(Q) \setminus \sigma_b(Q) = \emptyset$ , i.e.,  $T + Q = Q$  does not satisfy property (aR).

**Theorem 3.8.** Suppose  $T$  is  $a$ -polaroid and finite-isoloid,  $Q$  is a quasi-nilpotent operator which commutes with  $T$ . Then  $T + Q$  has property (aR).

*Proof.* Clearly by the proof of [3, Theorem 2.13].

In the case of injective quasi-nilpotent perturbation, we have a very simple situation:

**Theorem 3.9.** Suppose that for  $T \in L(X)$  there exists an injective quasi-nilpotent operator  $Q$  commuting with  $T$ . Then both  $T$  and  $T+Q$  satisfy property (aR).

*Proof.* It's evident that  $\pi_{00}^a(T)$  is empty by [4, Lemma 3.9], since  $p_{00}(T) \subseteq \pi_{00}^a(T)$ ,  $p_{00}(T) = \emptyset$ , it follows that  $p_{00}(T) = \pi_{00}^a(T) = \emptyset$ , i.e.,  $T$  satisfies property (aR). Property (aR) for  $T + Q$  is clear, since also  $T + Q$  commutes with  $Q$ .

In Theorem 3.9, the condition quasi-nilpotent can't be replaced by the condition compact.

**Example 3.10.** Let  $U : l^2(\mathbb{N}) \rightarrow l^2(\mathbb{N})$  be defined by  $U(x_1, x_2, \dots) = (0, \frac{x_2}{2^2}, \frac{x_3}{2^3}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$  and  $V(x_1, x_2, \dots) = (x_1, -\frac{x_2}{2^2}, -\frac{x_3}{2^3}, \dots)$  for all  $x = (x_1, x_2, \dots) \in l^2(\mathbb{N})$ . Define  $T = U \oplus I$  and  $K = V \oplus Q$ , where  $Q$  is an injective compact quasi-nilpotent operator. Clearly  $\sigma(T) = \sigma_a(T) = \{\frac{1}{2^n} : n = 2, 3, \dots\} \cup \{0, 1\}$  and  $\sigma_b(T) = \{0, 1\}$ , it follows that  $p_{00}(T) = \sigma(T) \setminus \sigma_b(T) = \{\frac{1}{2^n} : n = 2, 3, \dots\} = \pi_{00}^a(T)$ , thus property (aR) holds for  $T$ . Note that  $K$  is an injective compact operator,  $KT = TK$  and  $\sigma(T + K) = \sigma_b(T + K) = \{0, 1\}$ , so  $p_{00}(T + K) = \emptyset$ , while  $\pi_{00}^a(T + K) = \{1\}$ , it follows that  $T + K$  does not satisfy property (aR).

Recall that a bounded operator  $T$  is said to be algebraic if there exists a non-constant polynomial  $h$  such that  $h(T) = 0$ . Trivially, every nilpotent operator is algebraic. If for some  $n \in \mathbb{N}$ ,  $K^n$  is a finite dimensional operator, then  $K$  is an algebraic operator. And every algebraic operator has a finite spectrum.

**Theorem 3.11.** Suppose  $T \in L(X)$  and  $K \in L(X)$  is an algebraic operator which commutes with  $T$ .

- (i) If  $T$  is hereditarily polaroid and has SVEP, then  $T^* + K^*$  satisfies property (aR).
- (ii) If  $T^*$  is hereditarily polaroid and has SVEP, then  $T + K$  satisfies property (aR).

*Proof.* (i) Since  $T^* + K^*$  is  $a$ -polaroid by the proof of [3, Theorem 2.15], Property (aR) for  $T^* + K^*$  follows from Theorem 2.18.

(ii) The proof is similar to (i).

In the following theorem, recall that  $H(\sigma(T))$  is the space of functions analytic in an open neighborhood of  $\sigma(T)$ .

**Theorem 3.12.** Suppose  $T \in L(X)$  and  $K \in L(X)$  is an algebraic operator which commutes with  $T$ .

- (i) If  $T$  is hereditarily polaroid and has SVEP, then  $f(T^* + K^*)$  satisfies property (aR) for all  $f \in H(\sigma(T))$ .
- (ii) If  $T^*$  is hereditarily polaroid and has SVEP, then  $f(T + K)$  satisfies property (aR) for all  $f \in H(\sigma(T))$ .

*Proof.* (i) Since  $f(T^* + K^*)$  is  $a$ -polaroid by the proof of [3, Theorem 2.17], Property (aR) for  $f(T^* + K^*)$  follows from Theorem 2.18.

(ii) The proof of (ii) is analogous.

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