



Function Characterizations of Some Spaces in Which Compacta are G_δ

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Abstract. We use real-valued functions to give characterizations of some topological spaces in which compact subsets are (regular) G_δ , such as c -stratifiable spaces, kc -semi-stratifiable spaces. Also, characterizations of some other spaces such as K -semimetrizable spaces, strongly first countable spaces are obtained.

1. Introduction

Throughout, a space always means a Hausdorff topological space. For a space X , we denote by C_X the family of all compact subsets of X . τ and τ^c denote the topology of X and the family of all closed subsets of X respectively. For a subset A of a space X , we write \bar{A} ($\text{int}(A)$) for the closure (interior) of A in X . Also, we use χ_A to denote the characteristic function of A . The set of all positive integers is denoted by \mathbb{N} .

A real-valued function f on a space X is called *lower (upper) semi-continuous* [2] if for any real number r , the set $\{x \in X : f(x) > r\}$ ($\{x \in X : f(x) < r\}$) is open. f is called *k -lower semi-continuous* [15] if for each $K \in C_X$, f has a minimum value on K . We write $L(X)$ ($U(X)$, $KL(X)$) for the set of all lower (upper, k -lower) semi-continuous functions from X into the unit interval $[0, 1]$. $UKL(X) = U(X) \cap KL(X)$. $C(X)$ is the set of all continuous functions from X into $[0, 1]$. $F(X)$ is the set of all functions from X into $[0, 1]$.

It is known that many classes of spaces such as stratifiable spaces [5, 6], k -semi-stratifiable space [8, 15], countably paracompact spaces [9, 16], monotonically countably paracompact spaces [3] can be characterized with real-valued functions that satisfy certain conditions. In [13], to give characterizations of some generalized metric spaces, the following conditions were introduced.

Let $\mathcal{F} \subset F(X)$. For $x \in X$ and $A \subset X$, denote $\mathcal{F}(x) = \{f(x) : f \in \mathcal{F}\}$ and $\mathcal{F}(A) = \cup\{f(A) : f \in \mathcal{F}\}$. Consider the following conditions on \mathcal{F} .

(B) If $x \notin F \in \tau^c$, then there exists $f \in \mathcal{F}$ such that $f(x) > 0$ and $f(F) = \{0\}$.

(D) For each $x \in X$ and $\mathcal{F}' \subset \mathcal{F}$, if $\mathcal{F}'(x) \subset (a, 1]$ for some $a > 0$, then there exists an open neighborhood V of x such that $\mathcal{F}'(V) \subset (0, 1]$.

(E'') For each $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $\varepsilon > 0$, if $\mathcal{F}'(x) = \{0\}$, then there exists an open neighborhood V of x such that $\mathcal{F}'(V) \subset [0, \varepsilon)$.

(K) For each $K \in C_X$, $F \in \tau^c$ with $K \cap F = \emptyset$, there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(K) \subset (\frac{1}{m}, 1]$ and $f(F) = \{0\}$.

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(S) If $x \notin F \in \tau^c$, then there exist $f \in \mathcal{F}$, an open neighborhood V of x and $m \in \mathbb{N}$ such that $f(V) \subset (\frac{1}{m}, 1]$ and $f(F) = \{0\}$.

With these conditions, Naimpally and Pareek [13] presented characterizations of a broad class of generalized metric spaces such as first countable spaces, semi-stratifiable spaces, semi-metrizable spaces, developable spaces, stratifiable spaces and γ -spaces. For example, a space X is first countable if and only if there exists a family $\mathcal{F} \subset F(X)$ satisfying (B) and (D). X is stratifiable if and only if there exists a family $\mathcal{F} \subset F(X)$ satisfying (S) and (E'').

In [17], the first author of the present paper introduced another several conditions imposed on real-valued functions. For example.

Let $A, B \subset X$ and f_A a real-valued function on X related to A .

(e_A) $A = f_A^{-1}(0)$.

(m_A) If $A_1 \subset A_2$, then $f_{A_1} \geq f_{A_2}$.

(i_{AB}) If $A \cap B = \emptyset$, then $\inf\{f_A(x) : x \in B\} > 0$.

(i'_{AB}) If $A \cap B = \emptyset$, then there exists an open neighborhood V of B such that $\inf\{f_A(x) : x \in V\} > 0$.

With these conditions, characterizations of some generalized metric spaces were also obtained. For example, a space X is first countable if and only if for each $x \in X$, there exists $f_x \in U(X)$ satisfying ($e_{\{x\}}$) and ($i_{\{x\}F}$) with $F \in \tau^c$. X is a Nagata space if and only if for each $F \in \tau^c$, there exists $f_F \in C(X)$ satisfying (e_F), (m_F) and ($i_{\{x\}F}$).

A g -function for a space X is a map $g : \mathbb{N} \times X \rightarrow \tau$ such that for every $x \in X$ and $n \in \mathbb{N}$, $x \in g(n, x)$ and $g(n + 1, x) \subset g(n, x)$. For a subset A of X , denote $g(n, A) = \cup\{g(n, x) : x \in A\}$.

Definition 1.1. A space X is called a c -stratifiable [7] (c -semi-stratifiable [10]) space if there is a g -function g for X such that for each $K \in C_X$, $\bigcap_{n \in \mathbb{N}} \overline{g(n, K)} = K$ ($\bigcap_{n \in \mathbb{N}} g(n, K) = K$).

Definition 1.2. ([12]) A space X is called kc -semi-stratifiable if there is a g -function for X such that if $K, H \in C_X$ and $K \cap H = \emptyset$, then $K \cap g(m, H) = \emptyset$ for some $m \in \mathbb{N}$.

c -stratifiable (kc -semi-stratifiable, c -semi-stratifiable) spaces are nature generalizations of stratifiable (k -semi-stratifiable, semi-stratifiable) spaces in which compact subsets are (regular) G_δ -sets. The main purpose of this paper is to give characterizations of these spaces with real-valued functions that satisfy some conditions listed above. Moreover, characterizations of some other spaces such as K -semimetrizable spaces, strongly first countable spaces are obtained.

2. The First Kind of Characterizations

In this section, we shall present characterizations of c -stratifiable spaces, kc -semi-stratifiable spaces with conditions (e_A), (m_A) and (i_{AB}) listed in section 1.

Theorem 2.1. For a space X , the following are equivalent.

(a) X is a c -stratifiable space.

(b) For each $K \in C_X$, there exist $f_K \in L(X)$, $h_K \in UKL(X)$ with $f_K \leq h_K$ such that f_K, h_K satisfy (e_K) and h_K satisfies (m_K).

(c) For each $K \in C_X$, there exist $f_K \in L(X)$, $h_K \in U(X)$ with $f_K \leq h_K$ such that f_K, h_K satisfy (e_K) and h_K satisfies (m_K).

Proof. (a) \Rightarrow (b) Let g be the g -function for a c -stratifiable space. For each $K \in C_X$, let

$$f_K = 1 - \sum_{n=1}^{\infty} \frac{1}{2^n} \chi_{\overline{g(n, K)}}, \quad h_K = 1 - \sum_{n=1}^{\infty} \frac{1}{2^n} \chi_{g(n, K)}.$$

Then $f_K \in L(X)$, $h_K \in U(X)$ and $f_K \leq h_K$. It is clear that if $K_1 \subset K_2$, then $h_{K_1} \geq h_{K_2}$. One readily sees that for each $K \in C_X$, $f_K(x) = 0$ if and only if $x \in K$ if and only if $h_K(x) = 0$. That is, $f_K^{-1}(0) = K = h_K^{-1}(0)$.

To show that $h_K \in KL(X)$. Let $H \in C_X$.

Case 1. $H \cap K \neq \emptyset$. Choose $x_0 \in K \cap H$. Then $h_K(x_0) = 0$ and thus $h_K(x) \geq h_K(x_0)$ for each $x \in H$.

Case 2. $H \cap K = \emptyset$. Then $H \cap \bigcap_{n \in \mathbb{N}} \overline{g(n, K)} = \emptyset$. Since H is compact, it follows that $H \cap \overline{g(n, K)} = \emptyset$ for some $n \in \mathbb{N}$. Let $m = \min\{n \in \mathbb{N} : H \cap \overline{g(n, K)} = \emptyset\}$. If $m = 1$, then $H \cap \overline{g(1, K)} = \emptyset$ from which it follows that $h_K(x) = 1$ for each $x \in H$. If $m > 1$, then $H \cap \overline{g(m-1, K)} \neq \emptyset$ and $H \cap \overline{g(n, K)} = \emptyset$ for each $n \geq m$. Choose $x_0 \in H \cap \overline{g(m-1, K)}$. Then $h_K(x_0) = \frac{1}{2^{m-1}}$. Let $x \in H$ and $k_x = \min\{n \in \mathbb{N} : x \notin \overline{g(n, K)}\}$. Then $k_x \leq m$. Thus

$$h_K(x) = 1 - \sum_{n=1}^{k_x-1} \frac{1}{2^n} = \frac{1}{2^{k_x-1}} \geq \frac{1}{2^{m-1}} = h_K(x_0).$$

(b) \Rightarrow (c) is clear.

(c) \Rightarrow (a) For each $x \in X$ and $n \in \mathbb{N}$, let $g(n, x) = \{y \in X : h_{\{x\}}(y) < \frac{1}{n}\}$. Since $h_{\{x\}} \in U(X)$ and $h_{\{x\}}(x) = 0$, it follows that $g(n, x)$ is open and $x \in g(n, x)$. It is clear that $g(n+1, x) \subset g(n, x)$. Thus g is a g -function for X . For each $K \in C_X$ and $n \in \mathbb{N}$, let $F(n, K) = \{y \in X : f_K(y) \leq \frac{1}{n}\}$. For each $x \in K$ and $y \in g(n, x)$, $f_K(y) \leq h_K(y) \leq h_{\{x\}}(y) < \frac{1}{n}$ which implies that $g(n, x) \subset F(n, K)$ and thus $g(n, K) \subset F(n, K)$. Since $F(n, K)$ is closed, we have that $\overline{g(n, K)} \subset F(n, K)$.

Let $K \in C_X$. If $x \in \bigcap_{n \in \mathbb{N}} \overline{g(n, K)}$, then $x \in \overline{g(n, K)} \subset F(n, K)$ and thus $f_K(x) \leq \frac{1}{n}$ for each $n \in \mathbb{N}$. It follows that $f_K(x) = 0$. Hence, $x \in K$. This implies that $\bigcap_{n \in \mathbb{N}} \overline{g(n, K)} \subset K$. Since it is clear that $K \subset \bigcap_{n \in \mathbb{N}} \overline{g(n, K)}$, we have that $\bigcap_{n \in \mathbb{N}} \overline{g(n, K)} = K$. By Definition 1.1, X is a c -stratifiable space. \square

Theorem 2.2. For a space X , the following are equivalent.

- (a) X is a c -stratifiable space.
- (b) For each $K \in C_X$, there exists $f_K \in U(X)$ satisfying (e_K) , (m_K) and (i'_{KH}) with $H \in C_X$.
- (c) For each $K \in C_X$, there exists $f_K \in U(X)$ satisfying (e_K) , (m_K) and $(i'_{K\{x\}})$.

Proof. (a) \Rightarrow (b) Let g be the g -function for a c -stratifiable space. For each $K \in C_X$, let

$$f_K = 1 - \sum_{n=1}^{\infty} \frac{1}{2^n} \chi_{g(n, K)}.$$

Then $f_K \in U(X)$ satisfies (e_K) and (m_K) .

Let $K, H \in C_X$ and $K \cap H = \emptyset$, then $H \cap \overline{g(m, K)} = \emptyset$ for some $m \in \mathbb{N}$. Let $V = X \setminus \overline{g(m, K)}$. Then V is an open neighborhood of H . For each $x \in V$, $x \notin \overline{g(n, K)} \supset g(n, K)$ for all $n \geq m$. Thus

$$f_K(x) = 1 - \sum_{n=1}^{m-1} \frac{1}{2^n} \chi_{g(n, K)}(x) \geq 1 - \sum_{n=1}^{m-1} \frac{1}{2^n} = \frac{1}{2^{m-1}}.$$

This implies that $\inf\{f_K(x) : x \in V\} > 0$.

(b) \Rightarrow (c) is clear.

(c) \Rightarrow (a) For each $x \in X$ and $n \in \mathbb{N}$, let $g(n, x) = \{y \in X : f_{\{x\}}(y) < \frac{1}{n}\}$. Then g is a g -function for X . Let $K \in C_X$. If $x \notin K$, then by $(i'_{K\{x\}})$, there exist an open neighborhood V of x and $m \in \mathbb{N}$ such that $f_K(y) > \frac{1}{m}$ for each $y \in V$. This implies that $x \notin \overline{\{y \in X : f_K(y) < \frac{1}{m}\}}$. For each $z \in K$, we have that $g(m, z) = \{y \in X : f_{\{z\}}(y) < \frac{1}{m}\} \subset \{y \in X : f_K(y) < \frac{1}{m}\}$. Thus $g(m, K) \subset \{y \in X : f_K(y) < \frac{1}{m}\}$ and so $x \notin \overline{g(m, K)}$. This implies that $\bigcap_{n \in \mathbb{N}} \overline{g(n, K)} \subset K$ and thus $\bigcap_{n \in \mathbb{N}} \overline{g(n, K)} = K$. Therefore, X is a c -stratifiable space. \square

Theorem 2.3. For a space X , the following are equivalent.

- (a) X is a kc -semi-stratifiable space.
- (b) For each $K \in C_X$, there exists $f_K \in UKL(X)$ satisfying (e_K) and (m_K) .
- (c) For each $K \in C_X$, there exists $f_K \in U(X)$ satisfying (e_K) , (m_K) and (i_{KH}) with $H \in C_X$.

Proof. (a) \Rightarrow (b) Let g be the g -function for a kc -semi-stratifiable space. For each $K \in C_X$, let

$$f_K = 1 - \sum_{n=1}^{\infty} \frac{1}{2^n} \chi_{g(n,K)}.$$

Then $f_K \in U(X)$ satisfies (m_K) . It is clear that $K \subset f_K^{-1}(0)$. If $x \notin K$, then $\{x\} \cap g(n, K) = \emptyset$ for some $n \in \mathbb{N}$. It follows that $f_K(x) > 0$ and thus $f_K^{-1}(0) \subset K$. Consequently, $K = f_K^{-1}(0)$.

With a similar argument to that in the proof of (a) \Rightarrow (b) of Theorem 2.1, we can show that $f_K \in KL(X)$.

(b) \Rightarrow (c) Assume (b). It suffices to show that f_K satisfies (i_{KH}) . Let $H \in C_X$ and $K \cap H = \emptyset$. Since $f_K \in KL(X)$, there exists $x_0 \in H$ such that $f_K(x) \geq f_K(x_0)$ for each $x \in H$. It follows that $\inf\{f_K(x) : x \in H\} \geq f_K(x_0) > 0$.

(c) \Rightarrow (a) For each $x \in X$ and $n \in \mathbb{N}$, let $g(n, x) = \{y \in X : f_{\{x\}}(y) < \frac{1}{n}\}$. Then g is a g -function for X . Let $K, H \in C_X$ and $K \cap H = \emptyset$. By (i_{KH}) , there exists $m \in \mathbb{N}$ such that $f_K(x) > \frac{1}{m}$ for each $x \in H$. Then for each $y \in K$ and $x \in H$, $f_{\{y\}}(x) \geq f_K(x) > \frac{1}{m}$ from which it follows that $x \notin g(m, y)$. Thus $H \cap g(m, K) = \emptyset$. By Definition 1.2, X is a kc -semi-stratifiable space. \square

Analogous to Theorem 2.3, we have the following result for c -semi-stratifiable spaces.

Proposition 2.4. *A space X is c -semi-stratifiable if and only if for each $K \in C_X$, there exists $f_K \in U(X)$ satisfying (e_K) and (m_K) .*

3. Another Kind of Characterizations

In this section, we introduce the following conditions (B_K) , (K') and (S_K) as generalizations of conditions (B) , (K) and (S) listed in section 1 with which we present another several characterizations of c -stratifiable spaces, kc -semi-stratifiable spaces.

Let $\mathcal{F} \subset F(X)$. Consider the following conditions on \mathcal{F} .

(B_K) If $x \notin K \in C_X$, then there exists $f \in \mathcal{F}$ such that $f(x) > 0$ and $f(K) = \{0\}$.

(K') For each pair $H, K \in C_X$ with $H \cap K = \emptyset$, there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(H) \subset (\frac{1}{m}, 1]$ and $f(K) = \{0\}$.

(S_K) If $x \notin K \in C_X$, then there exist $f \in \mathcal{F}$, an open neighborhood V of x and $m \in \mathbb{N}$ such that $f(V) \subset (\frac{1}{m}, 1]$ and $f(K) = \{0\}$.

Remark 3.1. By their definitions, it is clear that (K') implies (B_K) . We can also show that (S_K) implies (K') . Assume (S_K) . Let $H, K \in C_X$ be such that $H \cap K = \emptyset$. Then $x \notin K$ for each $x \in H$. By (S_K) , there exist $f_x \in \mathcal{F}$, an open neighborhood V_x of x and $m_x \in \mathbb{N}$ such that $f_x(K) = \{0\}$, $f_x(V_x) \subset (\frac{1}{m_x}, 1]$. Since $H \in C_X$ and $H \subset \cup\{V_x : x \in H\}$, there exists a finite subset A of H such that $H \subset \cup\{V_x : x \in A\}$. Let $f = \max\{f_x : x \in A\}$ and $m = \max\{m_x : x \in A\}$. For each $x \in A$ and $y \in K$, $f_x(y) = 0$ from which it follows that $f(y) = 0$ and so $f(K) = \{0\}$. For each $y \in H$, there is $x \in A$ such that $y \in V_x$. Hence, $f(y) \geq f_x(y) > \frac{1}{m_x} \geq \frac{1}{m}$. This implies that $f(H) \subset (\frac{1}{m}, 1]$.

Theorem 3.2. *For a space X , the following are equivalent.*

(a) X is c -stratifiable.

(b) There exists a family $\mathcal{F} \subset L(X)$ satisfying (B_K) and (E'') .

(c) There exists a family $\mathcal{F} \subset F(X)$ satisfying (S_K) and (E'') .

Proof. (a) \Rightarrow (b) Let g be the g -function for a c -stratifiable space. For each $x \in X$ and $K \in C_X$, if $x \notin K$, then there exists $m \in \mathbb{N}$ such that $x \notin \overline{g(m, K)}$. Set $n_x(K) = \min\{n \in \mathbb{N} : x \notin \overline{g(n, K)}\}$. For each $K \in C_X$, define a function $f_K \in F(X)$ by letting $f_K(x) = 0$ whenever $x \in K$ and $f_K(x) = \frac{1}{n_x(K)}$ whenever $x \notin K$. It is clear that $K = f_K^{-1}(0)$.

Claim 1. For each $n \in \mathbb{N}$, $x \notin \overline{g(n, K)}$ if and only if $f_K(x) \geq \frac{1}{n}$.

Proof of Claim 1. If $x \notin \overline{g(n, K)}$, then $n_x(K) \leq n$ from which it follows that $f_K(x) = \frac{1}{n_x(K)} \geq \frac{1}{n}$. Conversely, if $f_K(x) \geq \frac{1}{n}$, then $f_K(x) = \frac{1}{n_x(K)}$ from which it follows that $n_x(K) \leq n$. Thus $x \notin \overline{g(n_x(K), K)} \supset \overline{g(n, K)}$.

Claim 2. For each $K \in C_X$, $f_K \in L(X)$.

Proof of Claim 2. Let $a \in [0, 1)$ and $f_K(x) > a$. Then $x \notin K$. Set $O_x = X \setminus \overline{g(n_x(K), K)}$. Then O_x is an open neighborhood of x . For each $y \in O_x$, $y \notin \overline{g(n_x(K), K)}$ from which it follows that $n_y(K) \leq n_x(K)$. Thus $f_K(y) = \frac{1}{n_y(K)} \geq \frac{1}{n_x(K)} = f_K(x) > a$. This implies that $f_K \in L(X)$.

Now, let $\mathcal{F} = \{f_K : K \in C_X\}$. It is clear that \mathcal{F} satisfies (B_K) . To show that \mathcal{F} satisfies (E'') , let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $\varepsilon > 0$. Then there exist $\mathcal{A} \subset C_X$ and $m \in \mathbb{N}$ such that $\mathcal{F}' = \{f_K : K \in \mathcal{A}\}$ and $\frac{1}{m} < \varepsilon$. Suppose that $\mathcal{F}'(x) = \{0\}$. Then $f_K(x) = 0$ for each $K \in \mathcal{A}$ from which it follows that $x \in \cap \mathcal{A}$. Let $V = g(m, \cap \mathcal{A})$. Then V is an open neighborhood of x . For each $y \in V$ and each $K \in \mathcal{A}$, $y \in \overline{g(m, K)}$ and thus $f_K(y) < \frac{1}{m}$ by Claim 1. It follows that $f_K(V) \subset [0, \varepsilon)$ for each $K \in \mathcal{A}$ and thus $\mathcal{F}'(V) \subset [0, \varepsilon)$.

(b) \Rightarrow (c) Let \mathcal{F} be the family in (b). Then we only need to show that \mathcal{F} satisfies (S_K) . Let $x \notin K \in C_X$. By (B_K) , there exists $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(K) = \{0\}$, $f(x) > \frac{1}{m}$. Set $V = \{y \in X : f(y) > \frac{1}{m}\}$. Since $f \in L(X)$, V is an open neighborhood of x . It is clear that $f(V) \subset (\frac{1}{m}, 1]$.

(c) \Rightarrow (a) Assume (c). For each $x \in X$, let $\mathcal{F}_x = \{f \in \mathcal{F} : f(x) = 0\}$. By (S_K) , $\mathcal{F}_x \neq \emptyset$. For each $n \in \mathbb{N}$ and $x \in X$, let $g(n, x) = \text{int}(\cap \{f^{-1}([0, \frac{1}{n}]) : f \in \mathcal{F}_x\})$. Since $\mathcal{F}_x(x) = \{0\}$, it follows from (E'') that $x \in g(n, x)$. It is clear that $g(n+1, x) \subset g(n, x)$. Thus g is a g -function for X .

Let $K \in C_X$ and $x \notin K$. By (S_K) , there exist $f \in \mathcal{F}$, an open neighborhood V of x and $m \in \mathbb{N}$ such that $f(K) = \{0\}$, $f(V) \subset (\frac{1}{m}, 1]$. For each $y \in K$, $f(y) = 0$ which implies that $f \in \mathcal{F}_y$. By the definition of $g(m, y)$, we have that $g(m, y) \subset f^{-1}([0, \frac{1}{m}])$. Thus $V \cap g(m, K) = \emptyset$ from which it follows that $x \notin \overline{g(m, K)}$. Consequently, $\cap_{n \in \mathbb{N}} \overline{g(n, K)} \subset K$. By Definition 1.1, X is a c -stratifiable space. \square

Theorem 3.3. For a space X , the following are equivalent.

- (a) X is kc -semi-stratifiable.
- (b) There exists a family $\mathcal{F} \subset U(X)$ satisfying (K') and (E'') .
- (c) There exists a family $\mathcal{F} \subset F(X)$ satisfying (K') and (E'') .

Proof. (a) \Rightarrow (b) Let g be the g -function for a kc -semi-stratifiable space. For each $x \in X$ and $K \in C_X$, if $x \notin K$, then there exists $m \in \mathbb{N}$ such that $x \notin g(m, K)$. Set $n_x(K) = \min\{n \in \mathbb{N} : x \notin g(n, K)\}$. For each $K \in C_X$, define a function $f_K \in F(X)$ by letting $f_K(x) = 0$ whenever $x \in K$ and $f_K(x) = \frac{1}{n_x(K)}$ whenever $x \notin K$. Then $K = f_K^{-1}(0)$.

Claim 1. For each $n \in \mathbb{N}$, $x \notin g(n, K)$ if and only if $f_K(x) \geq \frac{1}{n}$.

Proof of Claim 1. Analogous to the proof of Claim 1 in the proof of (a) \Rightarrow (b) of Theorem 3.2.

Claim 2. For each $K \in C_X$, $f_K \in U(X)$.

Proof of Claim 2. Let $a > 0$ and $f_K(x) < a$.

Case 1. $x \in K$. Then $f_K(x) = 0$ and thus there is $m \in \mathbb{N}$ such that $\frac{1}{m} < a$. Let $V = g(m, K)$. Then V is an open neighborhood of x and $f_K(y) < \frac{1}{m} < a$ for each $y \in V$.

Case 2. $x \notin K$. Then $f_K(x) = \frac{1}{n_x(K)} < a$. Case 2.1. $n_x(K) = 1$. Let $V = X$. Then $f_K(y) \leq 1 < a$ for each $y \in V$. Case 2.2. $n_x(K) > 1$. Let $V = g(n_x(K) - 1, K)$. Then V is an open neighborhood of x . For each $y \in V$, if $y \in K$, then $f_K(y) = 0 < a$. If $y \notin K$, then $n_x(K) - 1 < n_y(K)$ and thus $n_x(K) \leq n_y(K)$. It follows that $f_K(y) = \frac{1}{n_y(K)} \leq \frac{1}{n_x(K)} < a$.

By the above argument, we see that $f_K \in U(X)$ for each $K \in C_X$.

Now, let $\mathcal{F} = \{f_K : K \in C_X\}$. With a similar argument to the proof of (a) \Rightarrow (b) of Theorem 3.2, we can show that \mathcal{F} satisfies (E'') . To show that \mathcal{F} satisfies (K') , let $H, K \in C_X$ with $H \cap K = \emptyset$. Then $f_K(K) = \{0\}$. By definition 1.2, $H \cap g(m, K) = \emptyset$ for some $m \in \mathbb{N}$. For each $x \in H$, $x \notin g(m, K)$ from which it follows that $f_K(x) \geq \frac{1}{m}$. This implies that $f_K(H) \subset (\frac{1}{m+1}, 1]$.

(b) \Rightarrow (c) is clear.

(c) \Rightarrow (a) Assume (c). Define a g -function g for X as that in the proof of (c) \Rightarrow (a) of Theorem 3.2. Let $H, K \in C_X$ with $H \cap K = \emptyset$. By (K') , there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(H) \subset (\frac{1}{m}, 1]$ and $f(K) = \{0\}$. For each $x \in K$, $f(x) = 0$ which implies that $f \in \mathcal{F}_x$. By the definition of $g(m, x)$, we have that

$g(m, x) \subset f^{-1}([0, \frac{1}{m}])$. Thus $g(m, K) \subset f^{-1}([0, \frac{1}{m}])$ from which it follows that $H \cap g(m, K) = \emptyset$. By Definition 1.2, X is a kc -semi-stratifiable space. \square

The proof of the following result for c -semi-stratifiable spaces is similar to the proof of Theorem 3.3.

Proposition 3.4. For a space X , the following are equivalent.

- (a) X is c -semi-stratifiable.
- (b) There exists a family $\mathcal{F} \subset U(X)$ satisfying (B_K) and (E'') .
- (c) There exists a family $\mathcal{F} \subset F(X)$ satisfying (B_K) and (E'') .

4. Some Other Spaces

In this section, we introduce another several conditions such as (D') and (wB) so as to obtain characterizations of some generalized metric spaces other than those in [13]. First, we give a characterization of stratifiable spaces which improves a corresponding result for stratifiable spaces in [13].

Lemma 4.1. ([1]) A space X is stratifiable if and only if for each $F \in \tau^c$, there exists $f_F \in C(X)$ satisfying (e_F) and (m_F) .

Theorem 4.2. For a space X , the following are equivalent.

- (a) X is stratifiable.
- (b) There exists a family $\mathcal{F} \subset C(X)$ satisfying (S) and (E'') .
- (c) There exists a family $\mathcal{F} \subset C(X)$ satisfying (K) and (E'') .
- (d) There exists a family $\mathcal{F} \subset L(X)$ satisfying (B) and (E'') .

Proof. (a) \Rightarrow (b) Since X is stratifiable, by Lemma 4.1, for each $F \in \tau^c$, there exists $f_F \in C(X)$ satisfying (e_F) and (m_F) . Let $\mathcal{F} = \{f_F : F \in \tau^c\}$. To show that \mathcal{F} satisfies (S) , let $x \notin F \in \tau^c$. By (e_F) , $f_F(F) = \{0\}$ and $f_F(x) > 0$. Then there exists $m \in \mathbb{N}$ such that $f_F(x) > \frac{1}{m}$. Let $V = \{y \in X : f_F(y) > \frac{1}{m}\}$. Then V is an open neighborhood of x and it is clear that $f_F(V) \subset (\frac{1}{m}, 1]$.

To show that \mathcal{F} satisfies (E'') , let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $\varepsilon > 0$. Then there exist $\mathcal{A} \subset \tau^c$ and $m \in \mathbb{N}$ such that $\mathcal{F}' = \{f_F : F \in \mathcal{A}\}$ and $\frac{1}{m} < \varepsilon$. Suppose that $\mathcal{F}'(x) = \{0\}$. Then $f_F(x) = 0$ for each $F \in \mathcal{A}$ from which it follows that $x \in \cap \mathcal{A} \in \tau^c$. Let $V = \{y \in X : f_{\cap \mathcal{A}}(y) < \frac{1}{m}\}$. Then V is an open neighborhood of x . By (m_F) , for each $y \in V$ and each $F \in \mathcal{A}$, $f_F(y) \leq f_{\cap \mathcal{A}}(y) < \frac{1}{m}$. This implies that $f_F(V) \subset [0, \frac{1}{m}] \subset [0, \varepsilon)$ for each $F \in \mathcal{A}$ and thus $\mathcal{F}'(V) \subset [0, \varepsilon)$.

(b) \Rightarrow (c) We only need to show that (S) implies (K) . This can be done with a similar argument to that in Remark 3.1.

(c) \Rightarrow (d) is clear.

(d) \Rightarrow (a) For each $x \in X$, let $\mathcal{F}_x = \{f \in \mathcal{F} : f(x) = 0\}$. By (B) , $\mathcal{F}_x \neq \emptyset$. For each $n \in \mathbb{N}$ and $x \in X$, let $g(n, x) = \text{int}(\cap \{f^{-1}([0, \frac{1}{n}]) : f \in \mathcal{F}_x\})$. Then g is a g -function for X .

Let $F \in \tau^c$ and $x \notin F$. By (B) , there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(x) > \frac{1}{m}$ and $f(F) = \{0\}$. Set $V = \{y \in X : f(y) > \frac{1}{m}\}$. Since $f \in L(X)$, V is an open neighborhood of x . For each $y \in V$, $f(y) = 0$ which implies that $f \in \mathcal{F}_y$. By the definition of $g(m, y)$, we have that $g(m, y) \subset f^{-1}([0, \frac{1}{m}])$. Thus $V \cap g(m, F) = \emptyset$ from which it follows that $x \notin \overline{g(m, F)}$. Consequently, $\bigcap_{n \in \mathbb{N}} \overline{g(n, F)} \subset F$. Therefore, X is a stratifiable space. \square

A function $d : X \times X \rightarrow [0, \infty)$ is called a symmetric on X if (1) $d(x, y) = 0$ if and only if $x = y$; (2) $d(x, y) = d(y, x)$ for all $x, y \in X$. A space X is called semi-metrizable [14] if there is a symmetric on X such that for each $x \in X$, $\{B(x, r) : r > 0\}$ is a neighborhood base of x , where $B(x, r) = \{y \in X : d(x, y) < r\}$. X is called K -semimetrizable [11] if there is a semi-metric d on X such that $d(H, K) > 0$ for every disjoint pair H, K of nonempty compact subsets of X . It was shown that [13] X is semi-metrizable space if and only if there exists a family $\mathcal{F} \subset F(X)$ satisfying (B) , (D) and (E'') . As for K -semimetrizable spaces, we have the following.

Theorem 4.3. A space X is K -semimetrizable if and only if there exists a family $\mathcal{F} \subset F(X)$ satisfying (B), (D), (E'') and (K').

Proof. Let d be a K -semimetric on X which is bounded by 1. For each $F \in \tau^c$, define a function $f_F \in F(X)$ by letting $f_F(x) = d(x, F)$ for each $x \in X$. It is clear that $F = f_F^{-1}(0)$. Let $\mathcal{F} = \{f_F : F \in \tau^c\}$. Then $\mathcal{F} \subset F(X)$ satisfies (B).

Let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and suppose that $\mathcal{F}'(x) \subset (a, 1]$ for some $a > 0$. Then there exist $\mathcal{A} \subset \tau^c$ and $n \in \mathbb{N}$ such that $\mathcal{F}' = \{f_F : F \in \mathcal{A}\}$ and $\frac{1}{n} < a$. Thus $f_F(x) > \frac{1}{n}$ for each $F \in \mathcal{A}$. Let $V = \text{int}(B(x, \frac{1}{n}))$. Then V is an open neighborhood of x . For each $y \in V$ and $F \in \mathcal{A}$, $d(x, y) < \frac{1}{n}$ from which it follows that $y \notin F$ (If $y \in F$, then $f_F(x) = d(x, F) \leq d(x, y) < \frac{1}{n}$, a contradiction) and so $f_F(y) > 0$. This implies that $\mathcal{F}'(V) \subset (0, 1]$. Hence, \mathcal{F} satisfies (D).

Let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $\varepsilon > 0$. Then there exist $\mathcal{A} \subset \tau^c$ and $m \in \mathbb{N}$ such that $\mathcal{F}' = \{f_F : F \in \mathcal{A}\}$ and $\frac{1}{m} < \varepsilon$. Suppose that $\mathcal{F}'(x) = \{0\}$. Then $x \in F$ for each $F \in \mathcal{A}$. Let $V = \text{int}(B(x, \frac{1}{m}))$. Then V is an open neighborhood of x . For each $y \in V$ and each $F \in \mathcal{A}$, $f_F(y) = d(y, F) \leq d(x, y) < \frac{1}{m}$. This implies that $f_F(V) \subset [0, \frac{1}{m}] \subset [0, \varepsilon)$ for each $F \in \mathcal{A}$ and thus $\mathcal{F}'(V) \subset [0, \varepsilon)$. Hence, \mathcal{F} satisfies (E'').

Now, let $K, H \in C_X$ and $K \cap H = \emptyset$. Then $d(K, H) > 0$ from which it follows that there exists $m \in \mathbb{N}$ such that $d(x, y) > \frac{1}{m}$ for each $x \in K$ and $y \in H$. Thus $f_K(y) = d(y, K) \geq \frac{1}{m}$ for each $y \in H$ which implies that $f_K(H) \subset (\frac{1}{m+1}, 1]$. Clearly, $f_K(K) = \{f_K(x) : x \in K\} = \{0\}$. This shows that \mathcal{F} satisfies (K').

Conversely, for each $x \in X$, let $\mathcal{F}_x = \{f \in \mathcal{F} : f(x) = 0\}$. For each $n \in \mathbb{N}$ and $x \in X$, let $h(n, x) = \text{int}(\cap \{f^{-1}([0, \frac{1}{n}]) : f \in \mathcal{F}_x\})$. By (E''), h is a g -function for X . For each $n \in \mathbb{N}$ and $x \in X$, let $\mathcal{G}_{nx} = \{f \in \mathcal{F} : f(x) \geq \frac{1}{n}\}$. Then let $e(n, x) = X$ whenever $\mathcal{G}_{nx} = \emptyset$ and $e(n, x) = \text{int}(\cap \{f^{-1}((0, 1]) : f \in \mathcal{G}_{nx}\})$ otherwise. Then $x \in e(n, x)$ by (D). Let $g(n, x) = h(n, x) \cap \cap_{i \leq n} e(i, x)$. Then g is a g -function for X .

By (B), for each $x, y \in X$ with $x \neq y$, there is $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(x) > \frac{1}{m}$ and $f(y) = 0$. Then $e(m, x) \subset f^{-1}((0, 1])$ and thus $y \notin e(m, x) \supset g(m, x)$. Also, $h(m, y) \subset f^{-1}([0, \frac{1}{m}])$ and thus $x \notin h(m, y) \supset g(m, y)$. Let $m(x, y) = \min\{n \in \mathbb{N} : y \notin g(n, x) \text{ and } x \notin g(n, y)\}$. Define a function $d : X \times X \rightarrow [0, \infty)$ by letting $d(x, y) = 0$ whenever $x = y$ and $d(x, y) = \frac{1}{m(x, y)}$ whenever $x \neq y$. It is easy to verify that $y \in B(x, \frac{1}{n})$ if and only if $x \in g(n, y)$ or $y \in g(n, x)$.

Claim 1. $B(x, r)$ is a neighborhood of x for each $x \in X$ and $r > 0$.

Proof of Claim 1. Let $r > 0$ and choose $m \in \mathbb{N}$ such that $\frac{1}{m} < r$. Then $g(m, x) \subset B(x, \frac{1}{m}) \subset B(x, r)$. This implies that $B(x, r)$ is a neighborhood of x .

Claim 2. $\{B(x, r) : r > 0\}$ is a neighborhood base of x for each $x \in X$.

Proof of Claim 2. Let $x \in U \in \tau$. By (B), there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(x) > \frac{1}{m}$ and $f(y) = 0$ for each $y \in X \setminus U$. Then $h(m, y) \subset f^{-1}([0, \frac{1}{m}])$ and thus $x \notin h(m, y) \supset g(m, y)$. Also, $e(m, x) \subset f^{-1}((0, 1])$ and thus $y \notin e(m, x) \supset g(m, x)$. As a result, $y \notin B(x, \frac{1}{m})$. This implies that $B(x, \frac{1}{m}) \subset U$.

By Claim 1 and Claim 2, d is a semi-metric on X .

Now, let $K, H \in C_X$ and $K \cap H = \emptyset$. By (K'), there exist $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(K) = \{0\}$ and $f(H) \subset (\frac{1}{m}, 1]$. Thus $f(x) = 0$ and $f(y) > \frac{1}{m}$ for each $x \in K$, $y \in H$. It follows that $x \notin e(m, y) \supset g(m, y)$ and $y \notin h(m, x) \supset g(m, x)$. Hence, $d(x, y) = \frac{1}{m(x, y)} \geq \frac{1}{m}$. This implies that $d(K, H) > 0$.

Consequently, X is a K -semimetrizable space. \square

A space X is called strongly first countable [4] if there exists a g -function g for X such that for each $x \in X$, $\{g(n, x) : n \in \mathbb{N}\}$ is a neighborhood base of x and if $y \in g(n, x)$, then $g(n, y) \subset g(n, x)$. To give a characterization of strongly first countable spaces, we introduce the following condition.

(D') For each $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $n \in \mathbb{N}$, if $\mathcal{F}'(x) \subset [\frac{1}{n}, 1]$, then there exists an open neighborhood V of x such that $\mathcal{F}'(V) \subset [\frac{1}{n}, 1]$.

Theorem 4.4. A space X is strongly first countable if and only if there exists a family \mathcal{F} satisfying (B) and (D').

Proof. Let g be the g -function for a strongly first countable space. Let $x \in X$ and $F \in \tau^c$. If $x \notin F$, then there exists $m \in \mathbb{N}$ such that $g(m, x) \cap F = \emptyset$. Set $n_x(F) = \min\{n \in \mathbb{N} : g(n, x) \cap F = \emptyset\}$. For each $F \in \tau^c$, define a

function $f_F \in F(X)$ by letting $f_F(x) = 0$ whenever $x \in F$ and $f_F(x) = \frac{1}{n_x(F)}$ whenever $x \notin F$. Then $F = f_F^{-1}(0)$. It is easy to verify that for each $n \in \mathbb{N}$, $g(n, x) \cap F = \emptyset$ if and only if $f_F(x) \geq \frac{1}{n}$.

Let $\mathcal{F} = \{f_F : F \in \tau^c\}$. Then \mathcal{F} satisfies (B). To show that \mathcal{F} satisfies (D'), let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $n \in \mathbb{N}$. Then there exists $\mathcal{A} \subset \tau^c$ such that $\mathcal{F}' = \{f_F : F \in \mathcal{A}\}$. Suppose that $\mathcal{F}'(x) \subset [\frac{1}{n}, 1]$. Then $f_F(x) \geq \frac{1}{n}$ and thus $g(n, x) \cap F = \emptyset$ for each $F \in \mathcal{A}$. Let $V = g(n, x)$. Then for each $y \in V$, $g(n, y) \subset g(n, x)$ from which it follows that $g(n, y) \cap F = \emptyset$ and thus $f_F(y) \geq \frac{1}{n}$ for each $F \in \mathcal{A}$. This implies that $\mathcal{F}'(V) \subset [\frac{1}{n}, 1]$.

Conversely, for each $n \in \mathbb{N}$ and $x \in X$, let $\mathcal{G}_{nx} = \{f \in \mathcal{F} : f(x) \geq \frac{1}{n}\}$. Then let $h(n, x) = X$ whenever $\mathcal{G}_{nx} = \emptyset$ and $h(n, x) = \text{int}(\cap\{f^{-1}([\frac{1}{n}, 1]) : f \in \mathcal{G}_{nx}\})$ otherwise. Then $x \in h(n, x)$ by (D'). Now, for each $n \in \mathbb{N}$ and $x \in X$, let $g(n, x) = \cap_{i \leq n} h(i, x)$. Then g is a g -function for X .

Let $x \in U \in \tau$. By (B), there exists $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(x) \geq \frac{1}{m}$ and $f^{-1}((0, 1]) \subset U$. Then $f \in \mathcal{G}_{mx}$ and thus $g(m, x) \subset h(m, x) \subset f^{-1}([\frac{1}{m}, 1]) \subset f^{-1}((0, 1]) \subset U$.

Now, let $y \in g(n, x) = \cap_{i \leq n} h(i, x)$. For each $i \leq n$, if $h(i, x) = X$, then $h(i, y) \subset h(i, x)$. If $h(i, x) = \text{int}(\cap\{f^{-1}([\frac{1}{i}, 1]) : f \in \mathcal{G}_{ix}\})$, from $y \in h(i, x)$ it follows that $\mathcal{G}_{ix} \subset \mathcal{G}_{iy}$ and thus $h(i, y) \subset h(i, x)$. This implies that $g(n, y) \subset g(n, x)$. Consequently, X is strongly first countable. \square

A space X is called an α -spaces [4] if there exists a g -function g for X such that $\{x\} = \bigcap_{n \in \mathbb{N}} g(n, x)$ for each $x \in X$ and if $y \in g(n, x)$, then $g(n, y) \subset g(n, x)$.

(wB) If $x \neq a$, then there exists $f \in \mathcal{F}$ such that $f(x) > 0$ and $f(a) = 0$.

Theorem 4.5. A space X is an α -space if and only if there exists a family \mathcal{F} satisfying (wB) and (D').

Proof. Let g be the g -function for an α -space. Let $x, a \in X$. If $x \neq a$, then there exists $m \in \mathbb{N}$ such that $a \notin g(m, x)$. Set $n_x(a) = \min\{n \in \mathbb{N} : a \notin g(n, x)\}$. For each $a \in X$, define a function $f_a \in F(X)$ by letting $f_a(x) = 0$ whenever $x = a$ and $f_a(x) = \frac{1}{n_x(a)}$ whenever $x \neq a$. Then $\{a\} = f_a^{-1}(0)$. It is easy to verify that for each $n \in \mathbb{N}$, $a \notin g(n, x)$ if and only if $f_a(x) \geq \frac{1}{n}$.

Let $\mathcal{F} = \{f_a : a \in X\}$. Then \mathcal{F} satisfies (wB). To show that \mathcal{F} satisfies (D'), let $x \in X$, $\mathcal{F}' \subset \mathcal{F}$ and $n \in \mathbb{N}$. Then there exists $A \subset X$ such that $\mathcal{F}' = \{f_a : a \in A\}$. Suppose that $\mathcal{F}'(x) \subset [\frac{1}{n}, 1]$. Then $f_a(x) \geq \frac{1}{n}$ for each $a \in A$. It follows that $a \notin g(n, x)$ for each $a \in A$. Let $V = g(n, x)$. Then for each $y \in V$, $g(n, y) \subset g(n, x)$ from which it follows that $a \notin g(n, y)$ for each $a \in A$. Thus $f_a(y) \geq \frac{1}{n}$ for each $a \in A$. This implies that $\mathcal{F}'(V) \subset [\frac{1}{n}, 1]$.

Conversely, define a g -function g for X as that in the proof of Theorem 4.4.

Let $x, a \in X$ and $x \neq a$. By (wB), there exists $f \in \mathcal{F}$ and $m \in \mathbb{N}$ such that $f(x) \geq \frac{1}{m}$ and $f(a) = 0$. Then $f \in \mathcal{G}_{mx}$ and thus $g(m, x) \subset h(m, x) \subset f^{-1}([\frac{1}{m}, 1])$. It follows that $a \notin g(m, x)$.

With a similar argument to that in the proof of Theorem 4.4, we can show that if $y \in g(n, x)$, then $g(n, y) \subset g(n, x)$. Consequently, X is an α -space. \square

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