



On Stirling and Bell numbers of order $1/2$

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Abstract. The Stirling numbers of order $1/2$ (of the second kind) introduced by Katugampola are discussed and it is shown that they are given by a scaled subfamily of the generalized Stirling numbers introduced by Hsu and Shiue. This allows to deduce in a straightforward fashion many properties of the Stirling and Bell numbers of order $1/2$, for example, recurrence relations, generating functions, Dobiński formula, and Spivey formula. The even Bell polynomials of order $1/2$ are shown to be closely related to generalized Laguerre polynomials of order $-1/2$. Generalized Stirling numbers of order $1/2$ of the first kind are defined and studied. An analog of the Weyl algebra is introduced and proposed as a natural algebraic setting where the Stirling numbers of order $1/2$ of both kinds appear as ordering coefficients. This algebra contains the Weyl algebra as a subalgebra.

1. Introduction

The Stirling numbers of the second kind $S(n, k)$ (A008277 in [32]) count the number of set partitions of a set of n elements into k nonempty disjoint subsets and are among the most important combinatorial numbers, see, e.g., [9, 10, 22, 33]. If we denote by X and D the operators acting on functions of a real variable by $(Xf)(x) = xf(x)$ and $(Df)(x) = \frac{df}{dx}(x)$, then one has the commutation relation of the Weyl algebra,

$$DX - XD = I, \tag{1}$$

where I denotes the identity. The powers of the Euler operator (or, Mellin derivative) XD can be written in the normal ordered form

$$(XD)^n = \sum_{k=1}^n S(n, k) X^k D^k. \tag{2}$$

In this context, normal ordering means to bring a word in X and D into a form where all letters D stand to the right of all letters X using the commutation relation (1) (for more details concerning normal ordering, see [5, 24, 31]). The expansion (2) was already known to Scherk in 1823 [30] (but he did not recognize the coefficients as Stirling numbers). He also considered powers $(X^p D)^n$, for $p \in \mathbb{N}$, and studied the resulting normal ordering coefficients we would now call generalized Stirling numbers $S_{p,1}(n, k)$ (such that $S_{1,1}(n, k) = S(n, k)$), see the discussion in [5, Appendix A]. In [5], the combinatorial interpretation of $S_{p,1}(n, k)$

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in terms of trees is discussed and one can find many references to the literature where this connection was proved several times, see also the references given in [24]. More generally, Carlitz [7] and McCoy [28] considered normal ordering $(X^p D^q)^n$, for $p, q \in \mathbb{N}$, hence the generalized Stirling numbers $S_{p,q}(n, k)$. These generalized Stirling numbers have also been rediscovered several times, see, e.g., [24]. Recently, degenerate Stirling numbers as well as degenerate r -Stirling numbers were also discussed as normal ordering coefficients [14, 15, 18, 19]. Further considerations concerning normal ordering and generalized Stirling numbers can be found in [16, 17, 20, 25].

Katugampola [13, Definition 5.2] introduced the generalized Stirling numbers of order $s \in \{\frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \dots\}$ to be normal ordering coefficients of $s^{\frac{1-n}{2}} (x^s \frac{d}{dx})^n$ when n is odd, and of $s^{-\frac{n}{2}} (x^{1-s} \frac{d}{dx})^n$ when n is even. For example, when $s = \frac{1}{2}$, one finds [13]

$$\begin{aligned} 2(\sqrt{x}D)^2 &= D + 2xD^2, \\ 2(\sqrt{x}D)^3 &= 3\sqrt{x}D^2 + 2\sqrt{x^3}D^3, \\ 2^2(\sqrt{x}D)^4 &= 3D^2 + 12xD^3 + 4x^2D^4, \end{aligned}$$

giving, e.g., $S(4, 1) = 0, S(4, 2) = 3, S(4, 3) = 12, S(4, 4) = 4$, where we denote the generalized Stirling numbers of order $\frac{1}{2}$ by $S(n, k)$. Tables for the values of the generalized Stirling numbers for small n, k can be found for several values of s in [13]. Also, a connection to several sequences (A223168, A223523, A223524, A098503) in [32] was mentioned for $s = \frac{1}{2}$ as well as a connection to generalized Laguerre polynomials, but no systematic investigation was made. In the present work, we consider the case $s = \frac{1}{2}$ more closely.

In Section 2, we define the Stirling numbers $S(n, k)$ and Bell numbers B_n of order $\frac{1}{2}$. In Section 3, we recall the definition and some properties of the generalized Stirling numbers $S(n, k; \alpha, \beta, r)$ due to Hsu and Shiue [11]. Using an operational interpretation of $S(n, k; \alpha, \beta, r)$ due to Kargin and Corcino [12], we identify $S(n, k)$ with the scaled subfamily $S(n, k; \frac{1}{2}, 1, 0)$, allowing to deduce in a straightforward fashion many properties of $S(n, k)$ and B_n and to introduce and study the Stirling numbers of order $\frac{1}{2}$ of the first kind, $s(n, k)$. As an algebraic structure for considerations of normal ordering in this setting, an analog of the Weyl algebra is proposed in Section 4 and some ordering results analogous to those in the Weyl algebra are derived.

2. Stirling and Bell numbers of order $\frac{1}{2}$

As mentioned in the Introduction, Katugampola defined [13, Definition 5.1] the *Stirling numbers of order $\frac{1}{2}$* , in the following denoted by $S(n, k)$, to be the coefficients of $2^{\frac{n-1}{2}} (X^{\frac{1}{2}} \frac{d}{dx})^n$ when n is odd, and of $2^{\frac{n}{2}} (X^{\frac{1}{2}} \frac{d}{dx})^n$ when n is even. Thus, we can write

$$2^{\frac{n}{2}} \left(X^{\frac{1}{2}} D\right)^n = X^{-\frac{n}{2}} \sum_{k=0}^n S(n, k) X^k D^k, \quad \text{for } n \text{ even.}$$

Note that $S(n, k) = 0$ for $0 \leq k < n/2$ if n is even. Thus, the summands corresponding to “small” k vanish. In a similar fashion, for n odd, we have

$$2^{\frac{n-1}{2}} \left(X^{\frac{1}{2}} D\right)^n = X^{-\frac{n}{2}} \sum_{k=0}^n S(n, k) X^k D^k, \quad \text{for } n \text{ odd.}$$

For n odd, we have $S(n, k) = 0$ for $0 \leq k < (n + 1)/2$. Denoting by $\lfloor x \rfloor$ the greatest integer less than or equal to x , we can combine these two observations as follows,

$$\left(X^{\frac{1}{2}} D\right)^n = \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n 2^{-\lfloor \frac{n}{2} \rfloor} S(n, k) X^{k-\frac{n}{2}} D^k. \tag{3}$$

By comparing this with (2), we see that $S(n, k)$ should be considered as a kind of generalized Stirling number of the second kind. Note that there is a slight difference between our definition and the one of Katugampola

[13]: In his coefficients $c_{n,k}$ (see Table 7 in [13]) the second index is chosen such that $c_{n,1}$ denotes the first nonvanishing expansion coefficient – which corresponds to $S(n, \lfloor \frac{n+1}{2} \rfloor)$. Thus, there is a shift of $\lfloor \frac{n+1}{2} \rfloor - 1$ in the second index, i.e.,

$$c_{n,k} = S(n, k + \lfloor \frac{n-1}{2} \rfloor). \tag{4}$$

Let us also introduce the associated Bell polynomials of order $\frac{1}{2}$ by

$$B_n(x) = \sum_{k=1}^n S(n, k)x^k. \tag{5}$$

For $x = 1$, one obtains the corresponding Bell numbers of order $\frac{1}{2}$, $B_n \equiv B_n(1) = \sum_{k=1}^n S(n, k)$. One may also introduce the Fubini numbers (or ordered Bell numbers) of order $\frac{1}{2}$ by $F_n = \sum_{k=0}^n S(n, k)k!$, in analogy to the conventional case (see, e.g., [9] or A000670 in [32]). The first few values of $S(n, k)$, B_n and F_n are displayed in Table 1.

Remark 2.1. The first few Bell numbers of order $\frac{1}{2}$ (1, 3, 5, 19, 39, 173, 407, see Table 1) coincide with the beginning of sequence A242818 in [32]. This is no coincidence, as will be shown in the next section. The sequence of Fubini numbers of order $\frac{1}{2}$ starts with 1, 5, 18, 174, 1050, 15.210, 128.520 (see Table 1) and is not mentioned in [32].

$n \backslash k$	1	2	3	4	5	6	7	Bell number	Fubini number
1	1							1	1
2	1	2						3	5
3		3	2					5	18
4		3	12	4				19	174
5			15	20	4			39	1.050
6			15	90	60	8		173	15.210
7				105	210	84	8	407	128.520

Table 1: The first few Stirling, Bell and Fubini numbers of order $\frac{1}{2}$.

One could now show several properties of the generalized Stirling numbers $S(n, k)$ directly from their definition. For example, introducing the notation

$$\varepsilon_\ell = \begin{cases} 0, & \text{if } \ell \text{ is even,} \\ 1, & \text{if } \ell \text{ is odd,} \end{cases} \tag{6}$$

we have the following result.

Proposition 2.2. The Stirling numbers of order $\frac{1}{2}$ satisfy, for $n \in \mathbb{N}$ and $0 \leq k \leq n$, the recurrence relation

$$S(n + 1, k) = (1 + \varepsilon_n)S(n, k - 1) + (1 + \varepsilon_n) \binom{n}{k - \frac{n}{2}} S(n, k), \tag{7}$$

with initial value $S(1, 1) = 1$ and with $S(n, k) = 0$ for $0 \leq k < \lfloor \frac{n+1}{2} \rfloor$.

Using (7), one finds $S(n + 1, n + 1) = (1 + \varepsilon_n)S(n, n)$, hence

$$S(n + 1, n + 1) = (1 + \varepsilon_n)(1 + \varepsilon_{n-1})S(n - 1, n - 1) = 2S(n - 1, n - 1).$$

Since $S(1, 1) = 1$, this shows that

$$S(n, n) = 2^{\lfloor \frac{n}{2} \rfloor}, \tag{8}$$

see Table 1. We will refrain from giving a direct proof of Proposition 2.2 since we will show in the next section that the $S(n, k)$ are given by the generalized Stirling numbers of Hsu and Shiue [11] – from which all these properties can be derived easily (see, e.g., Corollary 3.3). From Proposition 2.2, one derives the following result.

Proposition 2.3. *The Bell polynomials of order $\frac{1}{2}$ satisfy, for $n \in \mathbb{N}$, the recurrence relation*

$$B_{n+1}(x) = (1 + \varepsilon_n) \left(x - \frac{n}{2} \right) B_n(x) + (1 + \varepsilon_n) x \frac{dB_n}{dx}(x), \tag{9}$$

with initial value $B_1(x) = x$.

Proof. Multiplying (7) with x^k and summing over k , one obtains

$$B_{n+1}(x) = (1 + \varepsilon_n) \sum_{k \geq 1} S(n, k - 1) x^k + (1 + \varepsilon_n) \sum_{k \geq 1} \left(k - \frac{n}{2} \right) S(n, k) x^k.$$

The first sum on the right-hand side equals $x B_n(x)$, while the second sum on the right-hand side equals $x \frac{d}{dx} B_n(x) - \frac{n}{2} B_n(x)$, showing the assertion. \square

Before closing this section, let us make the connection to the Laguerre polynomials mentioned in the Introduction explicit. Osipov [29] considered powers of the operator $B_\alpha = X^{-\alpha} D X^{1+\alpha} D$ (where α is not a negative integer). In particular, he showed that [29, Equation (6)]

$$L_n^\alpha(x) = \frac{(-1)^n}{n!} e^x B_\alpha^n e^{-x},$$

where $L_n^\alpha(x) = \sum_{k=0}^n \binom{n+\alpha}{n-k} \frac{(-x)^k}{k!}$ is a generalized Laguerre polynomial. Choosing $\alpha = -\frac{1}{2}$, one has $B_{-\frac{1}{2}} = X^{\frac{1}{2}} D X^{\frac{1}{2}} D$, hence $(B_{-\frac{1}{2}})^n = (X^{\frac{1}{2}} D)^{2n}$. Using (3), this yields

$$L_n^{-\frac{1}{2}}(x) = \frac{(-1)^n}{n!} e^x (X^{\frac{1}{2}} D)^{2n} e^{-x} = \frac{2^{-n}}{n!} \sum_{\ell=0}^n S(2n, n + \ell) (-x)^\ell. \tag{10}$$

Thus,

$$S(2n, n + \ell) = 2^n \binom{n - \frac{1}{2}}{n - \ell} \frac{n!}{\ell!}.$$

In particular, $S(2n, n) = 2^n n! \binom{n - \frac{1}{2}}{n} = (2n - 1)!!$, where $(2n - 1)!! = 1 \cdot 3 \cdot 5 \cdots (2n - 1)$ denotes the sequence of the double factorial of odd numbers (A001147 in [32]) starting with 1, 3, 15, 105, see Table 1. Recalling (5), one infers from (10) the following result.

Proposition 2.4. *The Bell polynomials of order $\frac{1}{2}$ satisfy, for $n \in \mathbb{N}$,*

$$B_{2n}(x) = (2x)^n n! L_n^{-\frac{1}{2}}(-x). \tag{11}$$

3. The connection to the generalized Stirling numbers of Hsu and Shiue

In this section, we derive and exploit the connection between the Stirling numbers of order $\frac{1}{2}$ and the generalized Stirling numbers introduced by Hsu and Shiue [11]. For the convenience of the reader, we recall their definition and those properties we will use later on. Denoting the generalized factorial by $(z|\alpha)_n = z(z - \alpha) \cdots (z - (n - 1)\alpha)$, the generalized Stirling numbers $S(n, k; \alpha, \beta, r)$ are defined as connection coefficients,

$$(z|\alpha)_n = \sum_{k=0}^n S(n, k; \alpha, \beta, r) (z - r|\beta)_k.$$

Here the parameters α, β, r are real or complex parameters (note that the original restriction $(\alpha, \beta, r) \neq (0, 0, 0)$ for the definition of the $S(n, k; \alpha, \beta, r)$ is unnecessary [21, Section 6]). They are given, for $\beta \neq 0$, explicitly by

$$S(n, k; \alpha, \beta, r) = \frac{1}{\beta^k k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (\beta j + r|\alpha)_n, \tag{12}$$

and they satisfy the recurrence relation

$$S(n + 1, k; \alpha, \beta, r) = S(n, k - 1; \alpha, \beta, r) + (k\beta - n\alpha + r)S(n, k; \alpha, \beta, r) \tag{13}$$

with initial value $S(n, 0; \alpha, \beta, r) = (r|\alpha)_n$. The associated generalized Bell numbers are defined by

$$B_{\alpha, \beta, r}(n) = \sum_{k=0}^n S(n, k; \alpha, \beta, r), \tag{14}$$

and one has the generalized Dobinski formula [11, Equation (23)]

$$B_{\alpha, \beta, r}(n) = \left(\frac{1}{e}\right)^{\frac{1}{\beta}} \sum_{k \geq 0} \frac{(1/\beta)^k}{k!} (k\beta + r|\alpha)_n. \tag{15}$$

By specializing the parameters α, β, r , many different kinds of combinatorial numbers can be recovered, see [11] or the Appendix of [4]. The numbers $S(n, k; \alpha, \beta, r)$ have been studied intensely in literature, see, e.g., [4, 21] for recent combinatorial discussions. For us, one particularly important operational property was shown by Kargin and Corcino [12, Equation (2.5)],

$$(\beta x^{1-\alpha/\beta} D)^n [x^{r/\beta} f(x)] = x^{(r-n\alpha)/\beta} \sum_{k=0}^n S(n, k; \alpha, \beta, r) \beta^k x^k f^{(k)}(x), \tag{16}$$

where $D = \frac{d}{dx}$ and $f^{(k)} = D^k f$. Specializing $(\alpha, \beta, r) = (\frac{1}{2}, 1, 0)$, one obtains from (16) the normal ordering result

$$(X^{\frac{1}{2}} D)^n = X^{-\frac{n}{2}} \sum_{k=0}^n S(n, k; \frac{1}{2}, 1, 0) X^k D^k. \tag{17}$$

Comparing this with (3), we have the following result.

Proposition 3.1. *The Stirling numbers of order $\frac{1}{2}$ are given, for $1 \leq k \leq n$, by*

$$S(n, k) = 2^{\lfloor \frac{n}{2} \rfloor} S(n, k; \frac{1}{2}, 1, 0). \tag{18}$$

Remark 3.2. *By combining (18) with (4), one finds for Katugampola’s coefficients $c_{n,k}$ that*

$$c_{n,k} = 2^{\lfloor \frac{n}{2} \rfloor} S(n, k + \lfloor \frac{n-1}{2} \rfloor; \frac{1}{2}, 1, 0).$$

Using (18), many properties can be transferred from the generalized Stirling numbers $S(n, k; \frac{1}{2}, 1, 0)$ to $S(n, k)$ (or, $c_{n,k}$). Let us give some examples.

Corollary 3.3. *The Stirling numbers of order $\frac{1}{2}$ satisfy the recurrence relation (7).*

Proof. Using (18), we find $S(n + 1, k) = 2^{\lfloor \frac{n+1}{2} \rfloor} S(n + 1, k; \frac{1}{2}, 1, 0)$. Applying (13), this gives

$$S(n + 1, k) = 2^{\lfloor \frac{n+1}{2} \rfloor} S(n, k - 1; \frac{1}{2}, 1, 0) + 2^{\lfloor \frac{n+1}{2} \rfloor} \left(k - \frac{n}{2}\right) S(n, k; \frac{1}{2}, 1, 0).$$

Using again (18), this yields

$$S(n + 1, k) = 2^{\lfloor \frac{n+1}{2} \rfloor - \lfloor \frac{n}{2} \rfloor} S(n, k - 1) + 2^{\lfloor \frac{n+1}{2} \rfloor - \lfloor \frac{n}{2} \rfloor} \left(k - \frac{n}{2}\right) S(n, k).$$

Since $2^{\lfloor \frac{n+1}{2} \rfloor - \lfloor \frac{n}{2} \rfloor} = (1 + \varepsilon_n)$, this shows (7). \square

Using (18) and applying (12) with $(\alpha, \beta, r) = (\frac{1}{2}, 1, 0)$, one finds the explicit expression

$$S(n, k) = \frac{1}{2^{\lfloor \frac{n+1}{2} \rfloor} k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} (2j)^n, \tag{19}$$

where $x^n = x(x - 1) \cdots (x - n + 1)$ denotes the falling factorial.

In the conventional case, the Stirling numbers of the first kind $s(n, k)$ (A008275 in [32]) can be defined in various ways, and the Stirling numbers of the first and second kind satisfy orthogonality relations. In view of this property, we will define numbers $s(n, k)$ which can be considered as Stirling numbers of order $\frac{1}{2}$ of the first kind. The generalized Stirling numbers $S(n, k; \alpha, \beta, r)$ and $S(n, k; \beta, \alpha, -r)$ satisfy orthogonality relations, so with respect to (18) we define, for $k, n \in \mathbb{N}$ with $1 \leq k \leq n$

$$s(n, k) = 2^{-\lfloor \frac{n}{2} \rfloor} S(n, k; 1, \frac{1}{2}, 0). \tag{20}$$

Proposition 3.4. *The numbers $S(n, k)$ and $s(n, k)$ satisfy the orthogonality relations*

$$\sum_{\ell} S(n, \ell) s(\ell, m) = \sum_{\ell} s(n, \ell) S(\ell, m) = \delta_{n,m}. \tag{21}$$

Proof. Let us consider the first sum. Inserting (18) and (20), one obtains

$$2^{\lfloor \frac{n}{2} \rfloor} 2^{-\lfloor \frac{m}{2} \rfloor} \sum_{\ell} S(n, \ell; \frac{1}{2}, 1, 0) S(\ell, m; 1, \frac{1}{2}, 0) = 2^{\lfloor \frac{n}{2} \rfloor - \lfloor \frac{m}{2} \rfloor} \delta_{n,m} = \delta_{n,m},$$

where we used an orthogonality relation of the generalized Stirling numbers. The second relation is shown in the same fashion. \square

Let us consider (21) for $n = m$. Only the summand $\ell = n$ remains, giving $s(n, n) = (S(n, n))^{-1} = 2^{-\lfloor \frac{n}{2} \rfloor}$, where we used (8) (see also Table 1). Using the same arguments as used in the proof of Corollary 3.3, one can deduce the recurrence relation

$$s(n + 1, k) = \frac{1}{1 + \varepsilon_{k-1}} s(n, k - 1) + \left(\frac{k}{2} - n\right) s(n, k). \tag{22}$$

This immediately implies, for $n \geq 2$, the explicit values $s(n, 1) = \left(-\frac{1}{2}\right)^{n-1} (2n - 3)!!$. More generally, inserting (20) into (12) and simplifying the expression, one obtains the following analog of (19),

$$s(n, k) = \frac{2^{\lfloor \frac{k+1}{2} \rfloor}}{k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} \left(\frac{j}{2}\right)^n. \tag{23}$$

From (18), one obtains that the Bell numbers of order $\frac{1}{2}$ are given by

$$B_n = 2^{\lfloor \frac{n}{2} \rfloor} B_{\frac{1}{2}, 1, 0}(n). \tag{24}$$

Using (24) and applying (15) with $(\alpha, \beta, r) = (\frac{1}{2}, 1, 0)$, one obtains the Dobiński-like formula

$$B_n = \frac{1}{2^{\lfloor \frac{n+1}{2} \rfloor}} \sum_{k \geq 0} \frac{(2k)^n}{k!}. \tag{25}$$

Xu [35, Corollary 8] showed the following Spivey-like formula for the generalized Bell numbers,

$$B_{\alpha, \beta, r}(n + m) = \sum_{k=0}^n \sum_{j=0}^m \binom{n}{k} S(m, j; \alpha, \beta, r) (j\beta - m\alpha)_k B_{\alpha, \beta, r}(k)$$

(given here in the equivalent form presented in [12]). Thus, using (24), one finds

$$B_{n+m} = 2^{\lfloor \frac{n+m}{2} \rfloor} \sum_{k=0}^n \sum_{j=0}^m \binom{n}{k} S(m, j; \frac{1}{2}, 1, 0) \left(j - m \frac{1}{2} \right)_{n-k} B_{\frac{1}{2}, 1, 0}(k).$$

Using (18) and (24) on the right-hand side as well as $(j - m \frac{1}{2})_{n-k} = (\frac{1}{2})^{n-k} (2j - m)^{n-k}$, this shows the Spivey-like formula

$$B_{n+m} = 2^{\lfloor \frac{n+m}{2} \rfloor - \lfloor \frac{m}{2} \rfloor - n} \sum_{k=0}^n \sum_{j=0}^m \binom{n}{k} S(m, j) (2j - m)^{n-k} 2^{\lfloor \frac{k+1}{2} \rfloor} B_k. \tag{26}$$

Before closing this section, let us recall that Hsu and Shiue [11, Equation (15)] derived the exponential generating function for the generalized Bell numbers,

$$\sum_{n \geq 0} B_{\alpha, \beta, r}(n) \frac{x^n}{n!} = (1 + \alpha x)^{r/\alpha} \exp \left[\frac{(1 + \alpha x)^{\beta/\alpha} - 1}{\beta} \right].$$

Denoting by $[x^n]f(x)$ the coefficient of x^n in the expansion of $f(x)$, we have $B_{\frac{1}{2}, 1, 0}(n) = n! [x^n] e^{x+x^2/4}$. Combining this with (24), gives

$$B_n = 2^{\lfloor \frac{n}{2} \rfloor} n! [x^n] e^{x+x^2/4}. \tag{27}$$

The right-hand side is the definition of sequence A242818 in [32], thereby explaining the observation of Remark 2.1. From (27), we conclude that

$$S(n, k) = \frac{2^{\lfloor \frac{n}{2} \rfloor} n!}{k!} [x^n] \left(x + \frac{x^2}{4} \right)^k. \tag{28}$$

In a similar fashion, using (20), one finds

$$s(n, k) = \frac{2^{\lfloor \frac{k+1}{2} \rfloor} n!}{k!} [x^n] \left(\sqrt{1+x} - 1 \right)^k. \tag{29}$$

4. An analog of the Weyl algebra

Recall that one can define the Weyl algebra \mathcal{W} as the complex unital algebra generated by letters U and V satisfying the commutation relation $UV - VU = I$, where I denotes the identity, see (1). In this setting,

one can show the normal ordering result $(VU)^n = \sum_k S(n, k)V^kU^k$, recovering for the concrete representation $V \mapsto X$ and $U \mapsto D$ Scherk’s result (2). Varvak [34] considered normal ordering words in letters U and V satisfying the commutation relation

$$UV - VU = hV^m, \tag{30}$$

where $m \in \mathbb{N}_0$ and $h \in \mathbb{C}$ a parameter. For such variables (generating the *generalized Weyl algebra* $\mathcal{W}_{m;h}$ with $\mathcal{W}_{0;1} = \mathcal{W}$), generalized Stirling numbers $S_{m;h}(n, k)$ were introduced as normal ordering coefficients of $(VU)^n$ [26, 27], see also [8]. Let us try to consider $(X^{\frac{1}{2}}D)^n$ in this framework. One has $DX^{\frac{1}{2}}f(x) = (DX^{\frac{1}{2}})f(x) + X^{\frac{1}{2}}Df(x)$, or $DX^{\frac{1}{2}} - X^{\frac{1}{2}}D = \frac{1}{2}(X^{\frac{1}{2}})^{-1}$. Thus, the variables U and V (with concrete representation $V \mapsto X^{\frac{1}{2}}$ and $U \mapsto D$) should satisfy

$$UV - VU = \frac{1}{2}V^{-1}. \tag{31}$$

This has a structure very close to (30), but here the inverse of the letter V appears on the right-hand side! Thus, we formally have to adjoin this inverse as a new variable W and consider the complex algebra generated by letters U, V, W . What remains to be determined is the commutation relation between U and W . Since $VW = I$ (where I denotes the identity) we can write

$$U = UVW = (UV)W = (VU + \frac{1}{2}W)W = VUW + \frac{1}{2}W^2,$$

where we used $UV = VU + \frac{1}{2}W$. Multiplying from the left with W and using $WV = I$, we obtain $UW - WU = -\frac{1}{2}W^3$. Thus, we are led to define the following object.

Definition 4.1. *The algebra $\mathcal{W}^{\frac{1}{2}}$ is the complex unital algebra (with identity I) generated by letters U, V, W satisfying the commutation relations*

$$UV - VU = \frac{1}{2}W, \quad VW = WV = I, \quad UW - WU = -\frac{1}{2}W^3. \tag{32}$$

Clearly, $(U, V, W) \mapsto (D, X^{\frac{1}{2}}, X^{-\frac{1}{2}})$ gives the concrete representation of $\mathcal{W}^{\frac{1}{2}}$ considered above. Similar as the Weyl algebra \mathcal{W} is the abstract object behind D, X satisfying (1), the algebra $\mathcal{W}^{\frac{1}{2}}$ is the abstract object behind $D, X^{\frac{1}{2}}$. One can now consider questions of normal ordering in $\mathcal{W}^{\frac{1}{2}}$, where a word is in normal ordered form if all letters V, W stand to the left of all letters U (V and W commute, so we don’t need to specify their relative order). From the commutation relations (32) one obtains, by induction, the following result.

Lemma 4.2. *For $n \in \mathbb{N}$, one has in $\mathcal{W}^{\frac{1}{2}}$ the following normal ordering formulas,*

$$UV^n = V^nU + \frac{n}{2}WV^{n-1}, \quad UW^n = W^nU - \frac{n}{2}W^{n+2}. \tag{33}$$

By linearity, this implies for any polynomial p (with derivative p') that

$$Up(V) = p(V)U + \frac{1}{2}Wp'(V), \quad Up(W) = p(W)U - \frac{1}{2}W^3p'(W).$$

Remark 4.3. *Note that $UV^2 = V^2U + I$. Thus, the subalgebra of $\mathcal{W}^{\frac{1}{2}}$ generated by $\{I, U, V^2\}$ is isomorphic to the Weyl algebra \mathcal{W} .*

This allows to transfer ordering results from \mathcal{W} to $\mathcal{W}^{\frac{1}{2}}$, e.g., in $\mathcal{W}^{\frac{1}{2}}$ one has

$$(V^2U)^n = \sum_{k=0}^n S(n, k)V^{2k}U^k, \quad V^{2n}U^n = \sum_{k=1}^n s(n, k)(V^2U)^k, \tag{34}$$

where we used that in the Weyl algebra \mathcal{W} generated by $\{I, \tilde{U}, \tilde{V}\}$ with $\tilde{U}\tilde{V} = \tilde{V}\tilde{U} + I$ one has

$$(\tilde{V}\tilde{U})^n = \sum_{k=0}^n S(n, k) \tilde{V}^k \tilde{U}^k, \quad \tilde{V}^n \tilde{U}^n = \sum_{k=1}^n s(n, k) (\tilde{V}\tilde{U})^k. \tag{35}$$

As another example for normal ordering in $\mathcal{W}^{\frac{1}{2}}$, one should consider $(VU)^n$. If we translate (3) to these variables ($D \rightsquigarrow U, X \rightsquigarrow V^2, X^{-\frac{1}{2}} \rightsquigarrow W$), we expect in $\mathcal{W}^{\frac{1}{2}}$ the following identity to be true,

$$(VU)^n = \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n 2^{-\lfloor \frac{n}{2} \rfloor} s(n, k) W^n V^{2k} U^k. \tag{36}$$

Proposition 4.4. *In the algebra $\mathcal{W}^{\frac{1}{2}}$ the normal ordering result (36) holds true for all $n \in \mathbb{N}$. Furthermore, the following analog of the second identity in (35) holds true in $\mathcal{W}^{\frac{1}{2}}$,*

$$V^n U^n = \sum_{k=1}^n 2^{\lfloor \frac{k}{2} \rfloor} s(n, k) W^n V^k (VU)^k. \tag{37}$$

Proof. We show (36) by induction. Let us multiply the identity (36) on both sides by $2^{\lfloor \frac{n}{2} \rfloor}$. For $n + 1$, we can then write the left-hand side as

$$2^{\lfloor \frac{n+1}{2} \rfloor} (VU)^{n+1} = 2^{(\lfloor \frac{n+1}{2} \rfloor - \lfloor \frac{n}{2} \rfloor)} (VU) 2^{\lfloor \frac{n}{2} \rfloor} (VU)^n = (1 + \varepsilon_n) VU W^n \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n s(n, k) V^{2k} U^k,$$

where we used $2^{(\lfloor \frac{n+1}{2} \rfloor - \lfloor \frac{n}{2} \rfloor)} = (1 + \varepsilon_n)$ and the induction hypothesis for n . Using the second identity of (33), this equals

$$W^n \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) S(n, k) VU V^{2k} U^k - W^{n+2} \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) \frac{n}{2} S(n, k) V^{2k+1} U^k.$$

Inserting into the first sum $I = WV$ and applying the first identity of (33), this gives, upon using $WV = I$ in the second sum,

$$W^{n+1} \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) S(n, k) V^2 (V^{2k} U + k W V^{2k-1}) U^k - W^{n+1} \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) \frac{n}{2} S(n, k) V^{2k} U^k.$$

Note that the first of these sums equals (using $WV = I$)

$$W^{n+1} \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) S(n, k) V^{2(k+1)} U^{k+1} + W^{n+1} \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n (1 + \varepsilon_n) k S(n, k) V^{2k} U^k.$$

Thus, relabelling the index in the first sum, we obtain in total

$$W^{n+1} \sum_{k=\lfloor \frac{n+2}{2} \rfloor}^{n+1} \left\{ (1 + \varepsilon_n) S(n, k-1) + (1 + \varepsilon_n) \left(k - \frac{n}{2} \right) S(n, k) \right\} V^{2k} U^k.$$

Applying (7), this equals $2^{\lfloor \frac{n+1}{2} \rfloor}$ times the right-hand side of (36) for $n + 1$, as requested. To show (37), we could also perform an induction using (22). Instead, we can check it by inserting (36),

$$V^n U^n = \sum_{k=1}^n 2^{\lfloor \frac{k}{2} \rfloor} s(n, k) W^n V^k (VU)^k = \sum_{k=1}^n \sum_{\ell=\lfloor \frac{k+1}{2} \rfloor}^k s(n, k) S(k, \ell) W^n V^{2\ell} U^\ell = \sum_{\ell=1}^n \delta_{n,\ell} W^n V^{2\ell} U^\ell,$$

where we changed in the last step the order of summation and used (21). The sum on the right-hand side equals $W^n V^{2n} U^n = V^n U^n$ due to $WV = I$. \square

Using (18) (resp., (20)), one can write (36) (resp., (37)) in the equivalent form

$$(VU)^n = \sum_{k=\lfloor \frac{n+1}{2} \rfloor}^n S(n, k; \frac{1}{2}, 1, 0) W^n V^{2k} U^k, \quad V^n U^n = \sum_{k=1}^n S(n, k; 1, \frac{1}{2}, 0) W^n V^k (VU)^k.$$

Remark 4.5. The authors of [8] considered normal ordering words in U and V satisfying (30). In particular, they mentioned (see table in Section 4) that normal ordering $(VU)^n$ where $UV - VU = V^{-1}$ is related to A122848 in [32]. Denoting this sequence by $t(n, k)$, we find by comparison that $S(n, k) = 2^{k-n} 2^{\lfloor \frac{n}{2} \rfloor} t(n, k)$, for $1 \leq k \leq n \leq 7$.

Recall from the Introduction that the generalized Stirling numbers $S_{r,s}(n, k)$ are defined as normal ordering coefficients of $(\tilde{V}^r \tilde{U}^s)^n$ in the Weyl algebra \mathcal{W} . In particular, $S_{2,1}(n, k) = L(n, k)$, the (unsigned) Lah numbers (A271703 in [32]), see [24]. In analogy, one can define $S_{r,s}(n, k)$ as normal ordering coefficients of $(V^r U^s)^n$ in $\mathcal{W}^{\frac{1}{2}}$. Due to the isomorphism mentioned in Remark 4.3 one has for r even that $S_{r,s}(n, k)$ is given by $S_{\frac{r}{2},s}(n, k)$. In particular, $S_{2,1}(n, k) = S_{1,1}(n, k) = S(n, k)$, see (34). On the other hand, $S_{4,1}(n, k) = S_{2,1}(n, k) = L(n, k)$, i.e., normal ordering $(V^4 U)^n$ in $\mathcal{W}^{\frac{1}{2}}$ involves the Lah numbers. To determine UV^n or UW^n in $\mathcal{W}^{\frac{1}{2}}$ is easy, see (33). In contrast, when higher powers of U are involved, calculations become complicated. For example, let us consider $U^n V$. For $n = 1$ one has $UV = VU + \frac{1}{2}W$. Using the commutation relations (33), one finds

$$\begin{aligned} U^2 V &= VU^2 + WU - \frac{1}{4}W^3, \\ U^3 V &= VU^3 + \frac{3}{2}WU^2 - \frac{3}{4}W^3 U + \frac{3}{8}W^5, \\ U^4 V &= VU^4 + 2WU^3 - \frac{3}{2}W^3 U^2 + \frac{12}{8}W^5 U - \frac{15}{16}W^7. \end{aligned}$$

By induction, one obtains the following result.

Proposition 4.6. For $n \in \mathbb{N}$ with $n \geq 2$, one has in $\mathcal{W}^{\frac{1}{2}}$ the following normal ordering result,

$$U^n V = VU^n + \frac{n}{2}WU^{n-1} + \sum_{k=2}^{n-1} (-1)^{k+1} \frac{c(n, k)}{2^k} W^{2k-1} U^{n-k} + (-1)^{n+1} \frac{(2n-3)!!}{2^n} W^{2n-1},$$

where $c(n, 1) = n, c(n, n) = (2n-3)!!$, and $c(n+1, k) = c(n, k) + (2k-3)c(n, k-1)$ for $k = 2, \dots, n$.

The algebra $\mathcal{W}_{m,h}$ associated with commutation relation (30) has been generalized to the generalized Weyl algebras \mathcal{W}_p with commutation relation $UV - VU = p(V)$ where $p \in \mathbb{C}[V]$, see [1–3, 23]. An in-depth study of \mathcal{W}_p was started in [1–3] and subsequent papers, see, e.g., [6]. Let us consider \mathcal{W}_p as above and, similarly, \mathcal{W}_q with generators \tilde{U} and \tilde{V} satisfying $\tilde{U}\tilde{V} - \tilde{V}\tilde{U} = q(\tilde{V})$ where $q \in \mathbb{C}[\tilde{V}]$. Benkart, Lopes and Ondrus showed the following result ([2, Lemma 3.1] and [2, Corollary 3.2]).

Lemma 4.7 ([2]). Suppose that plq and $q = pr$. Then the map $\mathcal{W}_q \rightarrow \mathcal{W}_p$ given by $\tilde{U} \mapsto U$ and $\tilde{V} \mapsto Vr(V)$ is an embedding of \mathcal{W}_q into \mathcal{W}_p . In particular, there is an embedding of \mathcal{W}_p into the Weyl algebra \mathcal{W} for every nonzero $p \in \mathbb{C}[V]$.

Thus, for any nonzero $p \in \mathbb{C}[V]$, we can combine the embedding of \mathcal{W}_p into \mathcal{W} and the embedding of \mathcal{W} into $\mathcal{W}^{\frac{1}{2}}$ observed in Remark 4.3 to obtain an embedding of \mathcal{W}_p into $\mathcal{W}^{\frac{1}{2}}$,

$$\mathcal{W}_p \hookrightarrow \mathcal{W} \hookrightarrow \mathcal{W}^{\frac{1}{2}}.$$

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